



# Dental Provider Manual

## UnitedHealthcare Community Plan of New Mexico Turquoise Care

Provider Services: 1-888-445-9817

Integrated Voice Response (IVR): 1-888-445-9817

Effective: July 1, 2024

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# Section 1: Introduction — who we are

## Welcome to UnitedHealthcare Community Plan of New Mexico, a product of UnitedHealthcare Insurance Company.

### UnitedHealthcare welcomes you as a participating Dental Provider in providing dental services to our members.

We are committed to providing accessible, quality, comprehensive dental services in the most cost-effective and efficient manner possible. We realize that to do so, strong partnerships with our providers are critical, and we value you as an important part of our program.

We offer a portfolio of products including, but not limited to, Medicaid and Medicare Special Needs plans, as well as Commercial products such as Preferred Provider Organization (PPO) plans.

This Provider Manual (the “Manual”) is designed as a comprehensive reference guide for the UnitedHealthcare Community Plan of New Mexico Turquoise Care program. Here you will find the tools and information needed to successfully administer the plan. As changes and new information arise, it will be uploaded on the portal at [UHCdental.com/medicaid](https://UHCdental.com/medicaid) under State specific alerts and resources. .

Our Commercial program plan requirements are contained in a separate Provider Manual. If you support one of our Commercial plans and need that Manual, please contact Provider Services at **1-800-822-5353**.

If you have any questions or concerns about the information contained within this Manual, please contact the UnitedHealthcare Community Plan Provider Services team at the telephone number listed on the cover.

Unless otherwise specified herein, this Manual is effective the date found on the cover for dental providers currently participating in the UnitedHealthcare Community Plan’s network, and effective immediately for newly contracted dental providers.

Please note: “Member” is used in this Manual to refer to a person eligible and enrolled to receive coverage for covered services in connection with your agreement with us. “You” or “your” refers to any provider subject to this Manual. “Us”, “we” or “our” refers to UnitedHealthcare Community Plan on behalf of itself and its other affiliates for those products and services subject to this Manual.

The codes and code ranges listed in this Manual were current at the time this Manual was published. Codes and coding requirements, as established by the organizations that create the codes, may periodically change. Please refer to the applicable coding guide for appropriate codes.

Thank you for your continued support as we serve the Medicaid and Medicare beneficiaries in your community.

### Provider Online Academy

Provider Online Academy is a resource for 24/7, on-demand, interactive, and self-paced courses for providers that cover the following topics:

- Dental provider portal training guide and digital solutions
- Dental plans and products overview
- Up-to-date dental operational tools and processes
- State-specific training requirements



To access Provider Online Academy, visit [UHCdental.com](https://UHCdental.com) and go to Resources > Dental Provider Online Academy.

## **Required trainings**

To remain compliant with the New Mexico state requirement, participating providers with the United Healthcare Community Plan of New Mexico Turquoise Care program are required to complete the New Mexico Cultural Competency training.

### **To complete the trainings:**

- Click [HERE](#) to go to the state-specific training page
- Choose New Mexico and select “Cultural Competency and Americans with Disabilities Act - REQUIRED TRAINING”
- Click on Start Course and complete the Attestation
- After submitting the completed Attestation, click the forward arrow in the bottom right corner to advance to the next page



## Section 2: Patient eligibility verification procedures

### 2.1 Member eligibility

Member eligibility or dental benefits may be verified online or via phone.

We receive daily updates on member eligibility and can provide the most up-to-date information available.

**Important Note:** Eligibility should be verified on the date of service. Verification of eligibility is not a guarantee of payment. Payment can only be made after the claim has been received and reviewed in light of eligibility, dental necessity and other limitations and/or exclusions. **Additional rules may apply to some benefit plans.**

### 2.2 Identification card

Members are issued an identification (ID) card by UnitedHealthcare Community Plan. There will not be separate dental cards for UnitedHealthcare Community Plan members. The ID cards are customized with the UnitedHealthcare Community Plan logo and include the toll-free customer service number for the health plan.

A member ID card is not a guarantee of payment. It is the responsibility of the provider to verify eligibility at the time of service. To verify a member's dental coverage, go to [UHCdental.com/medicaid](https://UHCdental.com/medicaid) or contact the dental Provider Services line at the telephone number listed on the cover of this document. A sample ID card is provided below. The member's actual ID card may look slightly different.

**UnitedHealthcare Community Plan**  
Health Plan (80840) 911-87726-04  
Member ID: 000100001 Group Number: NMXXXX  
Member: NEW M ENGLISH  
Medicaid ID: 9999999991  
PCP Name: DOUGLAS GETWELL  
PCP Phone: (717)851-6816  
DOB: 06/15/2013  
Effective: 06/16/2013  
Renewal: 01/01/2024  
State Plan  
Administered by UnitedHealthcare of New Mexico, Inc.

**WTURQUOISECARE**  
Payer ID: 87726  
**Optum Rx<sup>+</sup>**  
Rx Bin: 610494  
Rx Grp: ACUNM  
Rx PCN: 4941

In an emergency go to nearest emergency room or call 911. Printed: 01/05/2024  
This card does not guarantee coverage. To verify benefits or to find a provider, visit the website [www.myuhc.com/communityplan/](http://www.myuhc.com/communityplan/) or call Member Services.

Member Services: 877-236-0826 TTY 711  
UHC Nurseline: 833-890-3050 TTY 711  
NM Mental Health Crisis Line: 877-236-0826 TTY 711  
For Providers: UHCprovider.com 888-702-2202  
Send claims to: PO Box 31348, Salt Lake City, UT 84131-0348  
For Pharmacist: 877-305-8952  
For Pharmacist: OptumRX, PO Box 29044, Hot Springs, AR 71903

### 2.3 Eligibility verification

Eligibility can be verified on our provider portal at [UHCdental.com/medicaid](https://UHCdental.com/medicaid) 24 hours a day, 7 days a week. In addition to current eligibility verification, our provider portal offers other functionality for your convenience, such as claim status. Once you have registered, you can verify your patients' eligibility online with just a few clicks.

The username and password that are established during the registration process will be used to access the website. One username and password are granted for each payee ID number.

UnitedHealthcare Community Plan also offers an Interactive Voice Response (IVR) system for eligibility verification. The IVR is available 24 hours a day, 7 days a week.

## 2.4 Quick reference guide

UnitedHealthcare Community Plan is committed to providing your office accurate and timely information about our programs, products and policies.

Our **Provider Services Line** (noted on the cover of this manual) and Provider Services teams are available to assist you with any questions you may have. Our toll-free provider services number is available during normal business hours and is staffed with knowledgeable specialists. They are trained to handle specific dentist issues such as **eligibility, claims, benefits information and contractual questions**.

The following is a quick reference table to guide you to the best resource(s) available to meet your needs when questions arise:

You want to:	Provider Services Line– Dedicated Service Representatives Hours: 8 a.m.-6 p.m. (MST) Monday-Friday	Online UHCdental.com/medicaid	Interactive Voice Response (IVR) System and Voicemail Hours: 24 hours a day, 7 days a week
Ask a Benefit/Plan Question (including prior authorization requirements)	✓	✓	
Ask a question about your contract	✓		
Changes to practice information (e.g., associate updates, address changes, adding or deleting addresses, Tax Identification Number change, specialty designation)	✓	✓	
Inquire about a claim	✓	✓	✓
Inquire about eligibility	✓	✓	✓
Inquire about the In-Network Practitioner Listing	✓	✓	✓
Nominate a provider for participation	✓	✓	
Request a copy of your contract	✓		
Request a Fee Schedule	✓	✓	
Request an EOB	✓	✓	
Request an office visit (e.g., staff training)	✓		
Request benefit information	✓	✓	
Request documents	✓	✓	
Request participation status change	✓		

## 2.5 Dental Hub

The UnitedHealthcare Community Plan website at [UHCdental.com/medicaid](https://UHCdental.com/medicaid) offers many time-saving features including **eligibility verification, benefits, claims submission and status, print remittance information, claim receipt acknowledgment and network specialist locations**. The portal is also a helpful content library for **standard forms, provider manuals, quick reference guides, training resources**, and more.

To use the website, go to [UHCdental.com/medicaid](https://UHCdental.com/medicaid) and register or log-in for Dental Hub as a participating user. Online access requires only an internet browser, a valid user ID, and a password once registered. There is no need to download or purchase software.

To register on the site, you will need information on a prior paid claim or a Registration code. To receive your Registration code and for other Dental Hub assistance, call Provider Services.



## 2.6 Integrated Voice Response (IVR) system

We have a toll-free Integrated Voice Response (IVR) system that enables you to access information 24 hours a day, 7 days a week, by responding to the system's voice prompts.

Through this system, network dental offices can obtain immediate **eligibility information**, validate **practitioner participation status** and perform member **claim history** search (by surfaced code and tooth number).





## Section 3: Office administration

### 3.1 Office site quality

UnitedHealthcare Community Plan and affiliates monitor complaints for quality of services (QOS) concerning participating care providers and facilities. Complaints about you or your site are recorded and investigated. We conduct appropriate follow-up to assure that members receive care in a safe, clean and accessible environment. For this reason, UnitedHealthcare Community Plan has set Clinical Site Standards for all primary care provider office sites to help ensure facility quality.

UnitedHealthcare Community Plan requires you and your facilities meet the following site standards:

- Clean and orderly overall appearance.
- Available handicapped parking and handicapped accessible facilities.
- Available adequate waiting room space and dental operatories for providing member care.
- Privacy in the operatory.
- Clearly marked exits.
- Accessible fire extinguishers.

### 3.2 Office conditions

Your dental office must meet applicable Occupational Safety & Health Administration (OSHA), CDC infection control guidelines and American Dental Association (ADA) standards.

An attestation is required for each dental office location that the physical office meets ADA standards or describes how accommodation for ADA standards is made, and that medical recordkeeping practices conform with our standards.

### 3.3 Sterilization and infection control fees

Dental office infection control programs must meet the minimum requirements based on the Centers for Disease Control & Prevention's (CDC) guiding principles of infection control. All instruments should be sterilized where possible. Masks and eye protection should be worn by clinical staff where indicated; gloves should be worn during every clinical procedure. The dental office should have a sharps container for proper disposal of sharps. Disposal of medical waste should be handled per OSHA and state guidelines.

Sterilization and infection control fees are to be included within office procedure charges and should not be billed to members or the plan as a separate fee.

### 3.4 Recall system

It is expected that offices will have an active and definable recall system to make sure that the practice maintains preventive services, including patient education and appropriate access. Examples of an active recall system include, but are not limited to: postcards, letters, phone calls, emails and advance appointment scheduling.



### 3.5 Transfer of dental records

Your office shall copy all requested member dental records to another participating dentist as designated by UnitedHealthcare Community Plan or as requested by the member. The member is responsible for the cost of copying the patient dental records if the member is transferring to another provider. If your office terminates from UnitedHealthcare Community Plan, dismisses the member from your practice or is terminated by UnitedHealthcare Community Plan, the cost of copying records shall be borne by your office. Your office shall cooperate with UnitedHealthcare Community Plan in maintaining the confidentiality of such member dental records at all times, in accordance with state and federal law.

### 3.6 Office hours

Provide the same office hours of operation to UnitedHealthcare Community Plan members as those offered to commercial members.

### 3.7 Protect confidentiality of member data

UnitedHealthcare Community Plan members have a right to privacy and confidentiality of all health care data. We only give confidential information to business associates and affiliates who need that information to improve our members' health care experience. We require our associates to protect privacy and abide by privacy law. If a member requests specific medical record information, we will refer the member to you. You agree to comply with the requirements of the Health Insurance Portability and Accountability Act of 1996 ("HIPAA") and associated regulations. In addition, you will comply with applicable state laws and regulations.

UnitedHealthcare Community Plan uses member information for treatment, operations and payment. UnitedHealthcare Community Plan has safeguards to stop unintentional disclosure of protected health information (PHI). This includes passwords, screen savers, firewalls and other computer protection. It also includes shredding information with PHI and all confidential conversations. All staff is trained on HIPAA and confidentiality requirements.

### 3.8 Provide access to your records

You shall provide access to any medical, financial or administrative records related to services you provide to UnitedHealthcare Community Plan members within 14 calendar days of our request. We may request you respond sooner for cases involving alleged fraud and abuse, a member grievance/appeal, or a regulatory or accreditation agency requirement. Maintain these records for six years or longer if required by applicable statutes or regulations.

### 3.9 Inform members of advance directives

Members have the right to make their own health care decisions. This includes accepting or refusing treatment. They may execute an advance directive at any time. An advance directive is a document in which the member makes rules around their health care decisions if they later cannot make those decisions.

Several types of advance directives are available. You must comply with all applicable state law requirements about advance directives.



Members are not required to have an advance directive. You cannot provide care or otherwise discriminate against a member based on whether they have executed one. Document in a member's medical record whether they have executed or refused to have an advance directive.

If a member has one, keep a copy in their medical record. Or provide a copy to the member's PCP. Do not send a copy of a member's advance directive to UnitedHealthcare Community Plan.

If a member has a complaint about non-compliance with an advance directive requirement, they may file a complaint with the UnitedHealthcare Community Plan medical director, the physician reviewer, and/or the state survey and certification agency.

### 3.10 Participate in quality initiatives

You shall help our quality assessment and improvement activities. You shall also follow our clinical guidelines, member safety (risk reduction) efforts and data confidentiality procedures.

UnitedHealthcare Community Plan clinical quality initiatives are based on optimal delivery of health care for particular diseases and conditions. This is determined by United States government agencies and professional specialty societies.

### 3.11 New associates

As your practice expands and changes and new associates are added, you must contact us within 10 calendar days to request an application so that we may get them credentialed and set up as a participating provider.

It is important to remember that associates may not see members as a participating provider until they've been credentialed by our organization.

If you have any questions or need to receive a copy of our provider application packet, please contact Provider Services at the telephone number listed on the cover of this document.

### 3.12 Change of address, phone number, email address, fax or tax identification number

When there are demographic changes within your office, you must notify us at least 10 calendar days prior to the effective date of the change. This supports accurate claims processing as well as helps to make sure that member directories are up-to-date.

Changes should be submitted to:

UnitedHealthcare - RMO  
ATTN: 224-Prov Misc Mail WPN  
P.O. BOX 30567  
SALT LAKE CITY, UT 84130  
Fax: 1-855-363-9691  
Email: [dbpprvfx@uhc.com](mailto:dbpprvfx@uhc.com)

Credentialing updates should be sent to:

2300 Clayton Road  
Suite 1000  
Concord, CA 94520



Requests must be made in writing with corresponding and/or backup documentation. For example, a tax identification number (TIN) change would require submission of a copy of the new W9, versus an office closing notice where we'd need the notice submitted in writing on office letterhead.

When changes need to be made to your practice, we will need an outline of the old information as well as the changes that are being requested. This should include the name(s), TIN(s) and/or Practitioner ID(s) for all associates to whom that the changes apply.

UnitedHealthcare reserves the right to conduct an onsite inspection of any new facilities and will do so based on state and plan requirements.

If you have any questions, don't hesitate to contact Provider Services at the telephone number listed on the cover of this document for guidance.



## Section 4: Patient access

### 4.1 Appointment scheduling standards

We are committed to ensuring that providers are accessible and available to members for the full range of services specified in the UnitedHealthcare Community Plan provider agreement and this manual. Participating providers must meet or exceed the following state mandated or plan requirements:

- **Urgent care appointments**                      Within 24 hours
- **Routine care appointments**                      Offered within 60 calendar days of the request unless member requests later date
- **Symptomatic appointments**                      Offered within 14 calendar days of request unless member requests later date

We may monitor compliance with these access and availability standards through a variety of methods including member feedback, a review of appointment books, spot checks of waiting room activity, investigation of member complaints and random calls to provider offices. If necessary, the findings may be presented to UnitedHealthcare Community Plan's Quality Committee for further discussion and development of a corrective action plan.

Urgent care appointments would be needed if a patient is experiencing excessive bleeding, pain or trauma.

Providers are encouraged to schedule members appropriately to avoid inconveniencing the members with long wait times. Members should be notified of anticipated wait times and given the option to reschedule their appointment.

### 4.2 Emergency coverage

All network dental providers must be available to members during normal business hours. Practitioners will provide members access to emergency care 24 hours a day, 7 days a week through their practice or through other resources (such as another practice or a local emergency care facility). The out-of-office greeting must instruct callers what to do to obtain services after business hours and on weekends, particularly in the case of an emergency.

UnitedHealthcare Community Plan conducts periodic surveys to make sure our network providers' emergency coverage practices meet these standards.

### 4.3 Specialist referral process

If a member needs specialty care, a general dentist may recommend a network specialty dentist, or the member can self-select a participating network specialist. Referrals must be made to qualified specialists who are participating within the provider network. No written referrals are needed for specialty dental care.

To obtain a list of participating dental network specialists, go to our website at [UHCdental.com](http://UHCdental.com). Click "Find a Dentist" on the top right and then choose "Medicaid Plans" to search by location. You may also contact Provider Services on the telephone number listed on the cover of the manual.



## 4.4 Missed appointments

Enrolled Participating Providers are not allowed to charge Members for missed appointments.

If your office mails letters to Members who miss appointments, the following language may be helpful to include:

- “We missed you when you did not come for your dental appointment on month/date. Regular check-ups are needed to keep your teeth healthy.”
- “Please call to reschedule another appointment. Call us ahead of time if you cannot keep the appointment. Missed appointments are very costly to us. Thank you for your help.”

Contacting the Member by phone or postcard prior to the appointment to remind the individual of the time and place of the appointment may help to decrease the number of missed appointments.

The Centers for Medicare and Medicaid Services (CMS) interpret federal law to prohibit a Provider from billing Medicaid and CHIP Members for missed appointments. In addition, your missed appointment policy for UnitedHealthcare members cannot be stricter than that of your private or commercial patients.

## 4.5 Nondiscrimination

The Practice shall accept members as new patients and provide Covered Services in the same manner as such services are provided to other patients of your practice. The Practice shall not discriminate against any member on the basis of source of payment or in any manner in regards to access to, and the provision of, Covered Services. The Practice shall not unlawfully discriminate against any member, employee or applicant for employment on the basis of race, ethnicity, religion, national origin, ancestry, disability, medical condition, claims experience, evidence of insurability, source of payment, marital status, age, sexual orientation or gender.



## Section 5: Utilization Management program

### 5.1 Utilization Management

Through Utilization Management practices, UnitedHealthcare aims to provide members with cost-effective, quality dental care through participating providers. By integrating data from a variety of sources, including provider analytics, utilization review, prior authorization, claims data and audits, UnitedHealthcare can evaluate group and individual practice patterns and identify those patterns that demonstrate significant variation from norms.

By identifying and remediating providers who demonstrate unwarranted variation, we can reduce the overall impact of such variation on cost of care, and improve the quality of dental care delivered.

### 5.2 Community practice patterns

Utilization analysis is completed using data from a variety of sources. The process compares group performance across a variety of procedure categories and subcategories including diagnostic, preventive, minor restorative (fillings), major restorative (crowns), endodontics, periodontics, fixed prosthetics (bridges), removable prosthetics (dentures), oral surgery and adjunctive procedures. The quantity and distribution of procedures performed in each category are compared with benchmarks such as similarly designed UnitedHealthcare plans and peers to determine if utilization for each category and overall are within expected levels.

Significant variation might suggest either overutilization or underutilization. Variables which might influence utilization, such as plan design and/or population demographics, are taken into account. Additional analysis can determine whether the results are common throughout the group or caused by outliers.

### 5.3 Evaluation of utilization management data

Once the initial Utilization Management data is analyzed, if a dentist is identified as having practice patterns demonstrating significant variation, his or her utilization may be reviewed further. For each specific dentist, a Peer Comparison Report may be generated and analysis may be performed that identifies all procedures performed on all patients for a specified time period. Potential causes of significant variation include upcoding, unbundling, miscoding, excessive treatment, under-treatment, duplicate billing, or duplicate payments. Providers demonstrating significant variation may be selected for counseling or other corrective actions.

### 5.4 Utilization Management analysis results

Utilization analysis findings may be shared with individual providers in order to present feedback about their performance relative to their peers.

Feedback and recommended follow-up may also be communicated to the provider network as a whole. This is done by using a variety of currently available communication tools including:

- Provider Manual/Standards of Care
- Provider Training



- Continuing Education
- Provider News Bulletins

## 5.5 Utilization review

UnitedHealthcare shall perform utilization review on all submitted claims. Utilization review (UR) is a clinical analysis performed to confirm that the services in question are or were necessary dental services as defined in the member's certificate of coverage. UR may occur after the dental services have been rendered and a claim has been submitted (retrospective review).

Utilization review may also occur prior to dental services being rendered. This is known as prior authorization, pre-authorization, or a request for a pre-treatment estimate. UnitedHealthcare does not require prior authorization or pre-treatment estimates (although we encourage these before costly procedures are undertaken).

Retrospective reviews and prior authorization reviews are performed by licensed dentists.

Utilization review is completed based on the following:

- To ascertain that the procedure meets our clinical criteria for necessary dental services, which is approved by the Dental Clinical Policy and Technology Committee, and state regulatory agencies where required.
- To determine whether an alternate benefit should be provided.
- To determine whether the documentation supports the submitted procedure.
- To appropriately apply the benefits according to the member's specific plan design.

## 5.6 Evidence-Based Dentistry and the Dental Clinical Policy and Technology Committee (DCPTC)

According to the American Dental Association (ADA), Evidence-Based Dentistry is defined as:

“An approach to oral health care that requires the judicious integration of systematic assessments of clinically relevant scientific evidence, relating to the patient's oral and medical condition and history, with the dentist's clinical expertise and the patient's treatment needs and preferences.” Evidence-based dentistry is a methodology to help reduce variation and determine proven treatments and technologies. It can be used to support or refute treatment for the individual patient, practice, plan or population levels. At UnitedHealthcare Community Plan, it ensures that our clinical programs and policies are grounded in science. This can result in new products or enhanced benefits for members. Recent examples include: our current medical-dental outreach program which focuses on identifying those with medical conditions thought to be impacted by dental health, early childhood caries programs, oral cancer screening benefit, implant benefit, enhanced benefits for periodontal maintenance and pregnant members, and delivery of locally placed antibiotics.

Evidence is gathered from published studies, typically from peer reviewed journals. However, not all evidence is created equal, and in the absence of high-quality evidence, the “best available” evidence may be used. The hierarchy of evidence used at United Healthcare is as follows:

- Systematic review and meta-analysis
- Randomized controlled trials (RCT)
- Retrospective studies





- Case series
- Case studies

Anecdotal/expert opinion (including professional society statements, white papers and practice guidelines) Evidence is found in a variety of sources including:

- Electronic database searches such as Medline®, PubMed®, and the Cochrane Library.
- Hand search of the scientific literature
- Recognized dental school textbooks
- Evidence based dentistry can be used clinically to guide treatment decisions, and aid health plans in the development of benefits. At UnitedHealthcare Community Plan, we use evidence as the foundation of our efforts, including:
  - Practice guidelines, parameters and algorithms based on evidence and consensus.
  - Comparing dentist quality and utilization data
  - Conducting audits and site visits
  - Development of dental policies and coverage guidelines

The Dental Clinical Policy and Technology Committee (DCPTC) is responsible for developing and evaluating the inclusion of evidence-based practice guidelines, new technology and the new application of existing technology in the UnitedHealthcare Community Plan dental policies, benefits, clinical programs, and business functions; to include, but not limited to dental procedures, pharmaceuticals as utilized in the practice of dentistry, equipment, and dental services. The DCPTC convenes every other month and no less frequently than four times per year. The DCPTC is comprised of Dental Policy Development and Implementation Staff Members, Non-Voting Members, and Voting Members. Voting Members are UnitedHealth Group Dentists with diverse dental experience and business background including but not limited to members from Utilization Management and Quality Management.



## Section 6: Quality management

### 6.1 Quality Improvement Program (QIP) description

UnitedHealthcare Community Plan has established and continues to maintain an ongoing program of quality management and quality improvement to facilitate, enhance and improve member care and services while meeting or exceeding customer needs, expectations, accreditation and regulatory standards.

The objective of the QIP is to make sure that quality of care is being assessed; that problems are being identified; and that follow up is completed where indicated. The QIP is directed by all state, federal and client requirements. The QIP addresses various service elements including accessibility, availability and continuity of care. It also monitors the provisions and utilization of services to make sure they meet professionally recognized standards of care.

The QIP description is reviewed and updated annually:

- To measure, monitor, trend and analyze the quality of patient care delivery against performance goals and/or recognized benchmarks.
- To foster continuous quality improvement in the delivery of patient care by identifying aberrant practice patterns and opportunities for improvement.
- To evaluate the effectiveness of implemented changes to the QIP.
- To reduce or minimize opportunity for adverse impact to members.
- To improve efficiency, cost effectiveness, value and productivity in the delivery of oral health services.
- To promote effective communications, awareness and cooperation between members, participating providers and the Plan.
- To comply with all pertinent legal, professional and regulatory standards.
- To foster the provision of appropriate dental care according to professionally recognized standards.
- To make sure that written policies and procedures are established and maintained by the Plan to make sure that quality dental care is provided to the members.

As a participating practitioner, any requests from the QIP or any of its committee members must be responded to as outlined in the request.

### 6.2 Credentialing

To become a participating provider in UnitedHealthcare's network, all applicants must be fully credentialed and approved by our Credentialing Committee. In addition, to remain a participating provider, all practitioners must go through periodic recredentialing approval (typically every 3 years unless otherwise mandated by the state in which you practice).

Depending on the state in which you practice, UnitedHealthcare will review all current information relative to your license, sanctions, malpractice insurance coverage, etc. UnitedHealthcare will request a written explanation regarding any adverse incident and its resolution and will request corrective action be taken to prevent future occurrences.



Before an applicant dentist is accepted as a participating provider, the dentist's credentials are evaluated. Initial facility site visits are required for each location specified by the state requirements for some plans and/or markets. Offices must pass the facility review prior to activation. Your Professional Networks Representative will inform you of any facility visits needed during the recruiting process.

Dental Benefit Providers Credentialing Committee reviews adverse incidents based on the information provided by the applicant. Dental Benefit Providers will request a resolution of any discrepancy in credentialing forms submitted. Providers have the right to review and correct erroneous information and to be informed of the status of their application. Credentialing criteria is reviewed/ approved by the Credentialing Committee, which include input from practicing network providers to make sure that criteria are within generally accepted guidelines.

Dental Benefit Providers contracts with an external Credentialing Verification Organization (CVO) to assist with collecting the data required for the recredentialing process. The CVO will occasionally contact our contracted providers to collect outstanding credentialing information.

It is important to note that the recredentialing process is a requirement for your continued participation with UnitedHealthcare Community Plan. Any failure to comply with the recredentialing process constitutes termination for cause under your provider agreement.

So that a thorough review can be completed at the time of recredentialing, in addition to the items verified during the initial credentialing process, Dental Benefit Providers may review provider performance measures such as, but not limited to:

- Utilization Reports
- Current Facility Review Scores
- Current Member Chart Review Score
- Grievance and Appeals Data

Recredentialing requests are sent months prior to the recredentialing due date. The CVO will make 3 attempts to procure a completed recredentialing application from the provider, and if they are unsuccessful, Dental Benefit Providers will also make an additional 3 attempts, at which time if there is no response, a termination letter will be sent to the provider as per their provider agreement.

A list of the documents required for Initial Credentialing and Recredentialing is as follows (unless otherwise specified by state law):

### **Initial credentialing**

- Completed application
- Signed and dated Attestation
- Current copy of their state license
- Current copy of their Drug Enforcement Agency (DEA) certificate
- Current copy of their Controlled Dangerous Substance (CDS) certificate, if applicable
- Current copy of their Sedation and/or General Anesthesia certificates, if applicable
- Copy of their Sedation and/or General Anesthesia training certificate/diploma, if applicable
- Signed and dated Sedation and/or General Anesthesia Attestation, if applicable
- Malpractice face sheet which shows their name on the certificate, expiration dates and limits
  - limits \$1/3m



- Explanation of any adverse information, if applicable
- Five years' work in month/date format with no gaps of 6 months or more; if there are, an explanation of the gap should be submitted
- Education (which is incorporated in the application)
- Current Medicaid ID (as required by state)
- Disclosure of Ownership form (as required by the Federal Government), only if applicable

### Recredentialing

- Completed Recredentialing application
- Signed and dated Attestation
- Current copy of their state license
- Current copy of their Drug Enforcement Agency (DEA) certificate
- Current copy of their Controlled Dangerous Substance (CDS) certificate, if applicable
- Current copy of their Sedation and/or General Anesthesia certificates, if applicable
- Copy of their Sedation and/or General Anesthesia training certificate/diploma, if applicable
- Signed and dated Sedation and/or General Anesthesia Attestation, if applicable
- Malpractice face sheet which shows their name on the certificate, expiration dates and limits – limits \$1/3m
- Explanation of any adverse information, if applicable
- Current Medicaid ID (as required by state)

Any questions regarding your initial or recredentialing status can be directed to Provider Services.

### 6.3 Site visits

With appropriate notice, provider locations may receive an in-office site visit as part of our quality management oversight processes. All surveyed offices are expected to perform quality dental work and maintain appropriate dental records.

The site visit focuses primarily on: dental record keeping, patient accessibility, infection control, and emergency preparedness and radiation safety. Results of site reviews will be shared with the dental office. Any significant failures may result in a review by the Peer Review Committee, leading to a corrective action plan or possible termination. If terminated, the dentist can reapply for network participation once a second review has been completed and a passing score has been achieved.

UnitedHealthcare Dental, Dental Benefit Providers, reserves the right to conduct an on-site inspection prior to and any time during the effectuation of the contract of any Mobile Dental Facility or Portable Dental Operation bound by the "Mobile Dental Facilities Standard of Care Addendum."

### 6.4 Preventive health guideline

The UnitedHealthcare Community Plan approach to preventive health is a multi-focused strategy which includes several integrated areas. The following guidelines are for informational purposes for the dental provider, and will be referred to in a general way, in judging clinical appropriateness and competence.

UnitedHealthcare Community Plan's National Clinical Policy and Technology Committee reviews current professional guidelines and processes while consulting the latest literature, including, but not limited to,



current ADA Current Dental Terminology (CDT), and specialty guidelines as suggested by organizations such as the American Academy of Pediatric Dentistry, American Academy of Periodontology, American Association of Endodontists, American Association of Oral and Maxillofacial Surgeons, and the American Association of Dental Consultants. Additional resources include publications such as the Journal of Evidence-Based Dental Practice, online resources obtained via the Library of Medicine, and evidence-based clearinghouses such as the Cochrane Oral Health Group and Centre for Evidence Based Dentistry as well as respected public health benchmarks such as the Surgeon General’s Report on Oral Health in America. Preventive health focuses primarily on the prevention, assessment for risk, and early treatment of caries and periodontal diseases, but also encompasses areas including prevention of malocclusion, oral cancer prevention and detection, injury prevention, avoidance of harmful habits and the impact of oral disease on overall health. Preventive health recommendations for children are intended to be consistent with American Academy of Pediatric Dentistry periodicity recommendations.

**Caries Management** – Begins with a complete evaluation including an assessment for risk.

- X-ray periodicity – X-ray examination should be tailored to the individual patient and should follow current professionally accepted dental guidelines necessary for appropriate diagnosis and monitoring.
- Recall periodicity – Frequency of recall examination should also be tailored to the individual patient based on clinical assessment and risk assessment.
- Preventive interventions – Interventions to prevent caries should consider AAPD periodicity guidelines while remaining tailored to the needs of the individual patient and based on age, results of a clinical assessment and risk, including application of prophylaxis, fluoride application, placement of sealants and adjunctive therapies where appropriate.
- Consideration should be given to conservative nonsurgical approaches to early caries, such as Caries Management by Risk Assessment (CAMBRA), where the lesion is non-cavitated, slowly progressing or restricted to the enamel or just the dentin; or alternatively, where appropriate, to minimally invasive approaches, conserving tooth structure whenever possible.

**Periodontal management** – Screening, and as appropriate, complete evaluation for periodontal diseases should be performed on all adults, and children in late adolescence and younger, if that patient exhibits signs and symptoms or a history of periodontal disease.

- A periodontal evaluation should be conducted at the initial examination and periodically thereafter, as appropriate, based on American Academy of Periodontology guidelines.
- Periodontal evaluation and measures to maintain periodontal health after active periodontal treatment should be performed as appropriate.
- Special consideration should be given to those patients with periodontal disease, a previous history of periodontal disease and/or those at risk for future periodontal disease if they concurrently have systemic conditions reported to be linked to periodontal disease such as diabetes, cardiovascular disease and/or pregnancy complications.

**Oral cancer screening** should be performed for all adults and children in late adolescence or younger if there is a personal or family history, if the patient uses tobacco products, or if there are additional factors in the patient history, which in the judgment of the practitioner elevate their risk. Screening should be done at the initial evaluation and again at each recall. Screening should include, at a minimum, a manual/visual exam, but may include newer screening procedures, such as light contrast or brush biopsy, for the appropriate patient.



**Additional areas for prevention evaluation and intervention** include malocclusion, prevention of sports injuries and harmful habits (including, but not limited to, digit- and pacifier-sucking, tongue thrusting, mouth breathing, intraoral and perioral piercing, and the use of tobacco products). Other preventive concerns may include preservation of primary teeth, space maintenance and eruption of permanent dentition. UnitedHealthcare Community Plan may perform clinical studies and conduct interventions in the following target areas:

- Access
- Preventive services, including topical fluoride and sealant application
- Procedure utilization patterns

Multiple channels of communication will be used to share information with providers and members via manuals, websites, newsletters, training sessions, individual contact, health fairs, in-service programs and educational materials. It is the mission of UnitedHealthcare Community Plan to educate providers and members on maintaining oral health, specifically in the areas of prevention, caries, periodontal disease and oral cancer screening.

## 6.5 Addressing the opioid epidemic

Combating the opioid epidemic must include prevention, treatment, recovery and harm reduction. We engage in strategic community relationships and approaches for special populations with unique risks, such as pregnant women and infants. We use our robust data infrastructure to identify needs, drive targeted actions, and measure progress. Finally, we help ensure our approaches are trauma-informed and reduce harm where possible.

### Brief summary of framework

**Prevention:** Prevent Opioid-Use Disorders before they occur through pharmacy management, provider practices, and education.

**Treatment:** Access and reduce barriers to evidence-based and integrated treatment.

**Recovery:** Support care management and referral to person-centered recovery resources.

**Harm Reduction:** Access to Naloxone and facilitating safe use, storage, and disposal of opioids.

**Strategic community relationships and approaches:** Tailor solutions to local needs.

**Enhanced solutions for pregnant mom and child:** Prevent neonatal abstinence syndrome and supporting moms in recovery.

**Enhanced data infrastructure and analytics:** Identify needs early and measure progress.

### Increasing education & awareness of opioids

It is critical you are up-to-date on the cutting edge research and evidence-based clinical practice guidelines. We keep Opioid Use Disorders (OUD) related trainings and resources available on our provider portal to help ensure you have the information you need, when you need it. For example, state-specific Behavioral Health Toolkits are developed to provide access to clinical practice guidelines, free substance use disorders/OUD assessments and screening resources, and other important state-specific resources. Additionally, Pain Management Toolkits are available and provide resources to help you identify our members who present with chronic physical pain and may also be in need of behavioral health services to address the psychological aspects of pain. Continuing education is available and includes webinars



such as, “The Role of the Health Care Team in Solving the Opioid Epidemic,” and “The Fight Against the Prescription Opioid Abuse Epidemic.” While resources are available, we also work to help ensure you have the educational resources you need. For example, our Drug Utilization Review Provider Newsletter includes opioid trends, prescribing, and key resources.

Access these resources at [UHCprovider.com](https://UHCprovider.com). Click “Resources” on the top right. Then click “Drug Lists and Pharmacy”. There you will see an Opioid Programs and Resources - Community Plan (Medicaid) link which provides tools and education.

## Prevention

We are invested in reducing the abuse of opioids, while facilitating the safe and effective treatment of pain. Preventing OUD before they occur through improved pharmacy management solutions, improved care provider prescribing patterns, and member and care provider education is central to our strategy.

UnitedHealthcare Community Plan has implemented a 90 MED supply limit for the long-acting opioid class. The prior authorization criteria coincide with the CDC’s recommendations for the treatment of chronic non-cancer pain. Prior authorization applies to all long-acting opioids. The CDC guidelines for opioid prevention and overdose can be found at [Preventing Opioid Overdose | Overdose Prevention | CDC](#).



## Section 7: Fraud, waste, and abuse training

Providers are required to establish written policies for their employees, contractors or agents and to provide training to their staff on the following policies and procedures:

- Provide detailed information about the Federal False Claims Act,
- Cite administrative remedies for false claims and statements,
- Reference state laws pertaining to civil or criminal penalties for false claims and statements, and
- With respect to the role of such laws in preventing and detecting fraud, waste and abuse in federal health care programs, include as part of such written policies, detailed provisions regarding care providers policies and procedures for detecting and preventing fraud, waste and abuse.

The required training materials can be found at the website listed below. The website provides information on the following topics:

- FWA in the Medicare Program
- The major laws and regulations pertaining to FWA
- Potential consequences and penalties associated with violations
- Methods of preventing FWA
- How to report FWA
- How to correct FWA

<https://www.cms.gov/Outreach-and-Education/Medicare-Learning-Network-MLN/MLNProducts/MLN-Publications-Items/MLN4649244>





## Section 8: Governance

### 8.1 Provider rights bulletin

If you elect to participate/continue to participate with the plan, please complete the application in its entirety; sign and date the Attestation Form and provide current copies of the requested documents. You also have the following rights:

#### To review your information

You may review any information the plan has utilized to evaluate your credentialing application, including information received from any outside source (e.g., malpractice insurance carriers; state license boards), with the exception of references or other peer-review protected information.

#### To correct erroneous information

If the credentialing information you provided varies substantially from information obtained from other sources, we will notify you in writing within 15 business days of receipt of the information. You will have an additional 15 business days to submit your reply in writing. Within two business days, the plan will send a written notification acknowledging receipt of the information.

#### To be informed of status of your application

You may submit your application status questions in writing or telephonically.

#### To appeal adverse committee decisions

In the event you are denied participation or continued participation, you have the right to appeal the decision in writing within 30 days of the date of receipt of the rejection/denial letter and is applicable to certain states.

**UnitedHealthcare Dental**  
Credentialing Department  
2300 Clayton Road  
Suite 1000  
Concord, CA 94520  
Phone: **1-855-918-2265**  
Fax: **1-844-881-4963**

### 8.2 Quality of care issues

A provider who has demonstrated behavior inconsistent with the provision of quality of care is subject to review, corrective action, and/or termination. Questions of quality-of-care may arise for, but are not limited to, the following reasons:

- Chart audit reveals clear and convincing evidence of under- or over utilization, fraud, upcoding, overcharging, or other inappropriate billing practices.
- Multiple quality-of-care related complaints or complaints of an egregious nature for which investigation confirms quality concerns.
- Malpractice or disciplinary history that elicits risk management concerns.



**Note:** A provider cannot be prohibited from the following actions, nor may a provider be refused a contract solely for the following:

- Advocating on behalf of an enrollee
- Filing a complaint against the MCO
- Appealing a decision of the MCO
- Providing information or filing a report pursuant to PHL4406-c regarding prohibition of plans
- Requesting a hearing or review

We may not terminate a contract unless we provide the practitioner with a written explanation of the reasons for the proposed contract termination and an opportunity for a review or hearing as described below.

- Cases which meet disciplinary or malpractice criteria are initially reviewed by the Credentialing Committee. Other quality-of-care cases are reviewed by the Peer Review Committee.
- The Committees make every effort to obtain a provider narrative and appropriate documents prior to making any determination.
- The Committees may elect to accept, suspend, unpublish, place a provider on probation, require corrective action or terminate the provider.
- The provider will be allowed to continue to provide services to members for a period of up to sixty (60) days from the date of the provider's notice of termination.
- The Hearing Committee will immediately remove from our network any provider who is unable to provide health care services due to a final disciplinary action. In such cases, the provider must cease treating members upon receipt of this determination.

### 8.3 Appeals process

You have the right to appeal any credentialing decision if your practice is in a state that allows for credentialing Appeals which is based on information received during the credentialing process. If you practice in a state that allows for Appeals, to initiate an appeal of a recredentialing decision, follow the instructions provided in the determination letter received from the Credentialing Committee Coordinator.

- Providers are notified in writing of their appeal rights within fifteen (15) calendar days of the Committee's determination. The letter will include the reason for denial/termination; notice that the provider has the right to request a hearing or review, at the provider's discretion, before a panel appointed by UnitedHealthcare; notice of a thirty (30)-day time frame for the request; and, a time limit for the hearing date, which must be held within thirty (30) days after the receipt of a request for a hearing.
- The Hearing will be scheduled within thirty (30) days of the request for a hearing.
- The Hearing Committee includes at least three members appointed by UnitedHealthcare, who are not in direct economic competition with the provider, and who have not acted as accuser, investigator, fact-finder, or initial decision-maker in the matter. At least one person on the panel will be the same discipline or same specialty as the person under review. The panel can consist of more than three members, provided the number of clinical peers constitute one-third or more of the total membership.
- The Hearing Committee may uphold, overturn, or modify the original determination. Modifications may include, but are not limited to, placing the provider on probation, requiring completion of specific continuing education courses, requiring site or chart audits, or other corrective actions.



- The decision of the Hearing Committee is sent to the provider by certified letter within thirty (30) calendar days.
- Decisions of terminations shall be effective not less than thirty (30) days after the receipt by the provider of the Hearing Panel's decision.
- In no event shall determination be effective earlier than sixty (60) days from receipt of the notice of termination.

**Note:** A provider terminated due to a case involving imminent harm to patient care, a determination of fraud, or a final disciplinary action by a state licensing board or other governmental agency that impairs the health care professional's ability to practice is not eligible for a hearing or review.

## 8.4 Cultural competency

Cultural competence is of great importance to the field of dentistry. In an increasingly diverse society, it is necessary for dental professionals to be culturally competent health care providers. Cultural competence includes awareness and understanding of the many factors that influence culture and how that awareness translates into providing dental services within clients' cultural parameters.

UnitedHealthcare Community Plan recognizes that the diversity of American society has long been reflected in our member population. UnitedHealthcare Community Plan acknowledges the impact of race and ethnicity and the need to address varying risk conditions and dental care disparities. Understanding diverse cultures, their values, traditions, history and institutions is integral to eliminating dental care disparities and providing high-quality care. A culturally proficient health care system can help improve dental outcomes, quality of care and contribute to the elimination of racial and ethnic health disparities.

UnitedHealthcare Community Plan is committed to providing a diverse provider network that supports the achievement of the best possible clinical outcomes through culturally proficient care for our members.



# Section 9: Claim submission procedures

## 9.1 Claim submission options

### 9.1.a Paper claims

To receive payment for services, practices must submit claims via paper or electronically. When submitting a paper claim, dentists are required to submit an American Dental Association (ADA) Dental Claim Form (2019 version or later). If an incorrect claim form is used, the claim cannot be processed and will be returned.

All dental claims must be legible. Computer-generated forms are recommended. Additional documentation and radiographs should be attached, when applicable. Such attachments are required for pre-treatment estimates and for the submission of claims for complex clinical procedures. Refer to the Exclusions, Limitations and Benefits section of this Manual to find the recommendations for dental services.

Refer to Section 9.2 for more information on claims submission best practices and required information. Appendix A will provide you with the appropriate claims address information to ensure your claims are routed to the correct resource for payment.

### 9.1.b Electronic claims

Electronic Claims Submission refers to the ability to submit claims electronically versus paper. This expedites the claim adjudication process and can improve overall claim payment turnaround time (especially when combined with Electronic Payments, which is the ability to be paid electronically directly into your bank account).

If you wish to submit claims electronically, please contact your clearinghouse to initiate this process. If you do not currently work with a clearinghouse, you may sign up with one to initiate this process. The UnitedHealthcare Community Plan website ([UHCdental.com/medicaid](https://UHCdental.com/medicaid)) also offers the feature to directly submit your claims online through the Dental Hub. Refer to Section 2.5 for more information on how to register as a participating user.

### 9.1.c Electronic payments

**ePayment Center replaced the current electronic payment and statement process for UnitedHealthcare Dental Government Program Plans.**

The ePayment center is an online portal which will allow you to enroll in electronic delivery of payments and electronic remittance advice (ERA).

Through the ePayment Center, we will continue to offer a no-fee Automated Clearing House (ACH) delivery of claim payments with access to remittance files via download. Delivery of 835 files to clearinghouses is available directly through the ePayment Center enrollment portal.

**ePayment Center allows you to:**

- Improve cash flow with faster primary payments and speed up secondary filing/patient collections
- Access your electronic remittance advice (ERA) remotely and securely 24/7



- Streamline reconciliation with automated payment posting capabilities
- Download remittances in various formats (835, CSV, XLS, PDF)
- Search payments history up to 7 years

**To register:**

1. Visit **[UHCdental.epayment.center/register](https://UHCdental.epayment.center/register)**
2. Follow the instructions to obtain a registration code
3. Your registration will be reviewed by a customer service representative and a link will be sent to your email once confirmed
4. Follow the link to complete your registration and setup your account
5. Log into **[UHCdental.epayment.center](https://UHCdental.epayment.center)**
6. Enter your bank account information
7. Select remittance data delivery options
8. Review and accept ACH Agreement
9. Click “Submit”
10. Upon completion of the registration process, your bank account will undergo a prenotification process to validate the account prior to commencing the electronic fund transfer delivery. This process may take up to 6 business days to complete

Need additional help? Call **1-855-774-4392** or email **[help@epayment.center](mailto:help@epayment.center)**.

In addition to a no-fee ACH option, other electronic payment methods are available through Zelis Payments.

**The Zelis Payments advantage:**

- Access all payers in the Zelis Payments network through one single portal
- Experience award winning customer service
- Receive funds weeks faster than mailed checks and improve the accuracy of your claim payments
- Streamline your operations and improve revenue stability with virtual card and ACH
- Protect your account with 24/7 Office of Foreign Assets Control (OFAC) fraud monitoring
- Reduce costs and boost efficiency by simplifying administrative work from processing payments
- Gain visibility and insights from your payment data with a secure provider portal. Download files (10 years of storage) in various formats (XLS, PDF, CSV or 835)

Each Zelis Payments product gives you multiple options to access data and customize notifications. You will have access to several features via the secure web portal.

All remittance information is available 24/7 via **[provider.zelispayments.com](https://provider.zelispayments.com)** and can be downloaded into a PDF, CSV, or standard 835 file format. For any additional information or questions, please contact Zelis Payments Client Service Department at **1-877-828-8770**.



## 9.2 Claim submission requirements and best practices

### 9.2.a Dental claim form required information

An ADA Dental Claim Form (2019 version or later) must be submitted for payment of services rendered. One claim form should be used for each patient and the claim should reflect only 1 treating dentist for services rendered. The claims must also have all necessary fields populated as outlined in the following:

#### Header information

Indicate the type of transaction by checking the appropriate box: Statement of Actual Services.

#### Subscriber information

- Name (last, first and middle initial)
- Address (street, city, state, ZIP code)
- Date of birth
- Gender
- Subscriber ID number

#### Patient information

- Name (last, first and middle initial)
- Address (street, city, state, ZIP code)
- Date of birth
- Gender
- Patient ID number

#### Primary payer information

Record the name, address, city, state and ZIP code of the carrier.

#### Other coverage

If the patient has other insurance coverage, completing the “Other Coverage” section of the form with the name, address, city, state and ZIP code of the carrier is required. You may need to provide documentation from the primary insurance carrier, including amounts paid for specific services.

#### Other insured’s information (only if other coverage exists)

If the patient has other coverage, provide the following information:

- Name of subscriber/policy holder (last, first and middle initial)
- Date of birth
- Gender
- Subscriber ID number
- Relationship to the member



**Billing dentist or dental entity**

Indicate the provider or entity responsible for billing, including the following:

- Name
- Address (street, city, state, ZIP code)
- License number
- Social Security number (SSN) or tax identification number (TIN)
- Phone number
- National provider identifier (NPI)

**Treating dentist and treatment location**

List the following information regarding the dentist that provided treatment:

- Certification – Signature of dentist and the date the form was signed
- Name (use name provided on the Practitioner Application)
- License number
- TIN (or SSN)
- Address (street, city, state, ZIP code)
- Phone number
- NPI

**Record of services provided**

Most claim forms have 10 fields for recording procedures. Each procedure must be listed separately and must include the following information, if applicable. If the number of procedures exceeds the number of available lines, the remaining procedures must be listed on a separate, fully completed claim form.

**Missing teeth information**

When submitting for periodontal or prosthodontal procedures, this area should be completed. An “X” can be placed on any missing tooth number or letter when missing.

**Remarks section**

Some procedures require a narrative. If space allows, you may record your narrative in this field. Otherwise, a narrative attached to the claim form, preferably on practice letterhead with all pertinent member information, is acceptable.



ICD-10 instructions

RECORD OF SERVICES PROVIDED																			
24. Procedure Date (MM/DD/CCYY)	25. Area of Oral Cavity	26. Tooth System	27. Tooth Number(s) or Letter(s)	28. Tooth Surface	29. Procedure Code	29a. Diag. Pointer	29b. Qty.	30. Description				31. Fee							
1																			
2																			
3																			
4																			
5																			
6																			
7																			
8																			
9																			
10																			
33. Missing Teeth Information (Place an "X" on each missing tooth.)					34. Diagnosis Code List Qualifier			( ICD-10 = AB )				31a. Other Fee(s)							
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	34a. Diagnosis Code(s)	A _____	C _____	
32	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	(Primary diagnosis in "A")	B _____	D _____	32. Total Fee
35. Remarks																			

- 29a **Diagnosis Code Pointer:** Enter the letter(s) from Item 34 that identifies the diagnosis code(s) applicable to the dental procedure. List the primary diagnosis pointer first.
- 29b **Quantity:** Enter the number of times (01-99) the procedure identified in Item 29 is delivered to the patient on the date of service shown in Item 24. The default value is "01".
- 34 **Diagnosis Code List Qualifier:** Enter the appropriate code to identify the diagnosis code source: **B** = ICD-9-CM **AB** = ICD-10-CM (as of Oct. 1, 2013)  
 This information is required when the diagnosis may have an impact on the adjudication of the claim in cases where specific dental procedures may minimize the risks associated with the connection between the patient’s oral and systemic health conditions.
- 34a **Diagnosis Code(s):** Enter up to 4 applicable diagnosis codes after each letter (A.-D.). The primary diagnosis code is entered adjacent to the letter "A."  
 This information is required when the diagnosis may have an impact on the adjudication of the claim in cases where specific dental procedures may minimize the risks associated with the connection between the patient’s oral and systemic health conditions.

**By Report procedures**

All "By Report" procedures require a narrative along with the submitted claim form. The narrative should explain the need for the procedure and any other pertinent information.

**Using current ADA codes**

It is expected that providers use Current Dental Terminology (CDT). For the latest dental procedure codes and descriptions, you may order a current CDT book by calling the ADA or visiting the catalog website at [adacatalog.org](http://adacatalog.org).

**Supernumerary teeth**

UnitedHealthcare recognizes tooth letters "A" through "T" for primary teeth and tooth numbers "1" to "32" for permanent teeth. Supernumerary teeth should be designated by using codes AS through TS or 51 through 82. Designation of the tooth can be determined by using the nearest erupted tooth. If the tooth closest to the supernumerary tooth is # 1 then the supernumerary tooth should be charted as #51, likewise if the nearest tooth is A the supernumerary tooth should be charted as. These procedure codes





must be referenced in the patient's file for record retention and review. Patient records must be kept for a minimum of six (6) years or longer if required by applicable statutes or regulations.

### **Insurance fraud**

All insurance claims must reflect truthful and accurate information to avoid committing insurance fraud. Examples of fraud are falsification of records and using incorrect charges or codes. Falsification of records includes errors that have been corrected using "white-out," pre- or post-dating claim forms, and insurance billing before completion of service. Incorrect charges and codes include billing for services not performed, billing for more expensive services than performed, or adding unnecessary charges or services.

Any person who knowingly files a claim containing any misrepresentation or any false, incomplete or misleading information may be guilty of a criminal act punishable under law and may be subject to civil penalties. By signing a claim for services, the practitioner certifies that the services shown on the claim were medically indicated and necessary for the health of the patient and were personally furnished by the practitioner or an employee under the practitioner's direction. The practitioner certifies that the information contained on the claim is true and accurate.

### **Invalid or incomplete claims:**

If claims are submitted with missing information, incomplete or outdated claim forms, the claim will be rejected or returned to the provider and a request for the missing information will be sent to the provider. For example, if the claim is missing a tooth number or surface, a letter will be generated to the provider requesting this information.

## **9.2.b Coordination of Benefits (COB)**

Our benefits contracts are subject to coordination of benefits (COB) rules. We coordinate benefits based on the member's benefit contract and applicable regulations.

UnitedHealthcare Community Plan is the payer of last resort. Other coverage should be billed as the primary carrier. When billing UnitedHealthcare Community Plan as a secondary payer, submit the primary payer's Explanation of Benefits or remittance advice with the claim.

## **9.2.c Timely submission (Timely filing)**

All claims should be submitted within 90 calendar days from the date of service.

All adjustments or requests for reprocessing must be made within 365 days from date of service, or date of eligibility posting, only if the initial submission time period has been met. An adjustment can be requested in writing or telephonically.

Secondary claims must be received within 120 days from the date of receipt (see section 9.2.b).

Refer to the Quick Reference Guide for address and phone number information.

## **9.3 Timely payment**

- 90% of all clean claims will be paid or denied within 30 calendar days of receipt.
- 99% of all clean claims will be paid or denied within 90 calendar days of receipt.

Quality Assurance (QA) audits are performed to ensure the accuracy and effectiveness of our claim adjudication procedures. Any identified discrepancies are resolved within established timelines. The



QA process is based on an established methodology but as a general overview, on a daily basis various samples of claims are selected for quality assurance reviews. QA samples include center-specific claims, adjustments, claims adjudicated by newly hired claims processors, and high-dollar claims. In addition, management selects other areas for review, including customer-specific and processor-specific audits. Management reviews the summarized results and correction is implemented, if necessary.

## 9.4 Provider remittance advice

### 9.4.a Explanation of dental plan reimbursement (remittance advice)

The Provider Remittance Advice is a claim detail of each patient and each procedure considered for payment. Use these as a guide to reconcile member payments. As a best practice, it is recommended that remittance advice is kept for future reference and reconciliation.

Below is a list and description of each field:

**PROVIDER NAME AND ID NUMBER**- Provider Name and ID number – Treating dentists name, Practitioner ID number (NPI National Provider Identifier, TIN Tax Identification Number)

**PROVIDER LOCATION AND ID** - Treating location as identified on submitted claim and location ID number

**AMOUNT BILLED** - Amount submitted by provider

**AMOUNT PAYABLE** - Amount payable after benefits have been applied

**PATIENT PAY** - Any amounts owed by the patient after benefits have been applied

**OTHER INSURANCE** - Amount payable by another carrier

**PRIOR MONTH ADJUSTMENT** - Adjustment amount(s) applied to prior overpayments

**NET AMOUNT (Summary Page)** - Total amount paid

**PATIENT NAME**

**SUBSCRIBER/MEMBER NO** - Identifying number on the subscriber's ID card

**PATIENT DOB**

**PLAN** - Health plan through which the member receives benefits (i.e., UnitedHealthcare Community Plan)

**PRODUCT** - Benefit plan that the member is under (i.e., Medicaid or Family Care)

**ENCOUNTER NUMBER** - Claim reference number

**BENEFIT LEVEL** - In or out-of-network coverage

**LINE ITEM NUMBER** - Reference number for item number within a claim

**DOS** - Dates of Service: Dates that services are rendered/performed

**CODE** - Current Dental Terminology - Procedure code of service performed

**TOOTH NO.** - Tooth Number procedure code of service performed (if applicable)

**SURFACE(S)** - Tooth Surface of service performed (if applicable)

**PLACE OF SERVICE** - Treating location (office, hospital, other)

**QTY OR NO. OF UNITS**

**PAYMENT PERCENTAGE** - Reflects benefit coverage level in terms of percentage to be paid by plan



**PAYABLE AMOUNT** - Contracted amount

**COPAY AMOUNT** - Member responsibility

**COINSURANCE AMOUNT** - Member responsibility of total payment amount

**DEDUCTIBLE AMOUNT** - Member responsibility before benefits begin

**PATIENT PAY** - Amount to be paid by the member

**OTHER INSURANCE AMOUNT** - Amount paid by other carriers

**NET AMOUNT** (Services Detail) - Final amount to be paid


**EXCEPTION CODES** - Codes that explain how the claim was adjudicated



## 9.4.b Provider Remittance Advice sample (page 1)

<b>UnitedHealthcare NM Medicaid</b>		
Payee ID: 55555	Payee Name: Dental Office Name	Remittance Date: 10/20/2017

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	<b>Please address questions to:</b>	
	UnitedHealthcare NM Medicaid PO Box 1427 Milwaukee, WI 53201	Contact: UnitedHealthcare Community Plan - Provider Services Phone: (888)445-9817 Fax:

Dental Office Name	<b>Current Period:</b> 10/20/2017
Street Address	Payee ID: 55555
City, State ZIP	Phone: (555)555-5555
	Fax: (555)555-5555
	Tax ID: 555555555

### Remittance Summary

<b>Fee For Service:</b>	<b>\$2,164.33</b>
<b>Budget Allocation:</b>	<b>\$0.00</b>
<b>Capitation:</b>	<b>\$0.00</b>
<b>Case Fees:</b>	<b>\$0.00</b>
<b>Additional Compensation:</b>	<b>\$0.00</b>
<b>Prior Period Recovery and other Payee Adjustments:</b>	<b>\$0.00</b>
<b>Total:</b>	<b>\$2,164.33</b>

What if I do not agree with this decision?  
 If you do not agree with the denial, you may appeal. You may appeal within 60 calendar days after the payment, denial or recoupment of a timely claim submission. Administrative appeals should be sent to the address below.  
 UnitedHealthcare Community Plan  
 P.O. Box 1427  
 Milwaukee, WI 53201  
 If you have any questions, please call Provider Customer Services at 888-445-9817

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9.4.c Provider Remittance Advice sample (page 2)

<b>UnitedHealthcare NM Medicaid</b>		Payee ID: 55555		Payee Name: Dental Office Name	Remittance Date: 10/20/2017		
<b>Fee For Service Summary</b>							
Dental Office Name Street Address City, State ZIP							
Provider / ID	Location / ID	Amount Billed	Amount Payable	Patient Pay	Other Insurance	Prior Mo. Adj	Net Amount
Provider Name/ 55555	Dental Office Name / 55555	\$4,785.00	\$1,870.84	\$0.00	\$0.00	\$0.00	\$1,870.84
Provider Name / 55555	Dental Office Name / 55555	\$1,110.00	\$109.37	\$0.00	\$0.00	\$0.00	\$109.37
Provider Name / 55555	Dental Office Name / 55555	\$450.00	\$184.12	\$0.00	\$0.00	\$0.00	\$184.12
<b>Totals:</b>		<b>\$6,345.00</b>	<b>\$2,164.33</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2,164.33</b>
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9.4.d Provider Remittance Advice sample (page 3)

UnitedHealthcare NM Medicaid

Payee ID: 55555

Payee Name: Dental Office Name

Remittance Date: 10/20/2017

**Services Detail**

FFS - Fee For Service      GBA - Global Budget Allocation  
 CAP - Capitation          CASE - Case Fee  
 ENC - Encounter Payment

Patient Name: Last, First Name      Provider Name: Last, First Name      Encounter #: 555555555555  
 Subscriber/Member: 55555555 / 00      Provider NPI: 555555555      Referral #:  
 DOB: 00/00/0000      Plan: UnitedHealthcare New Mexico      Referral Date:  
 Office Reference No: 55555555      Product: UHC NM Medicaid      Benefit Level: In Network

ITM	DOS	CODE	POS	BILLED		ALLOWED		PAY %	PAYABLE AMOUNT	COPAY AMOUNT	COINS AMOUNT	DEDUCT AMOUNT	PATIENT PAY	OTHER INSUR	NET AMOUNT	PAY CODE
				QTY	AMOUNT	QTY	AMOUNT									
1	10/16/17	D2740 4	11	1	\$885.00	0	\$0.00	100.00 %	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	FFS
2	10/16/17	D2954 4	11	1	\$225.00	1	\$109.37	100.00 %	\$109.37	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$109.37	FFS
					<b>\$1,110.00</b>		<b>\$109.37</b>		<b>\$109.37</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$109.37</b>	

ITEM: 1      Exception Code: 1096      Service Authorization not Found.

Patient Name: Last, First Name      Provider Name: Last, First Name      Encounter #: 555555555555  
 Subscriber/Member: 55555555 / 00      Provider NPI: 555555555      Referral #:  
 DOB: 00/00/0000      Plan: UnitedHealthcare New Mexico      Referral Date:  
 Office Reference No: 55555555      Product: UHC NM Medicaid Adult      Benefit Level: In Network

ITM	DOS	CODE	POS	BILLED		ALLOWED		PAY %	PAYABLE AMOUNT	COPAY AMOUNT	COINS AMOUNT	DEDUCT AMOUNT	PATIENT PAY	OTHER INSUR	NET AMOUNT	PAY CODE
				QTY	AMOUNT	QTY	AMOUNT									
1	10/12/17	D2392 29 DO	11	1	\$135.00	1	\$71.84	100.00 %	\$71.84	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$71.84	FFS
2	10/12/17	D7140 30	11	1	\$160.00	1	\$52.28	100.00 %	\$52.28	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$52.28	FFS
					<b>\$295.00</b>		<b>\$124.12</b>		<b>\$124.12</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$124.12</b>	

ITEM: 1      Exception Code: 1039      This service is not covered under the plan.

Patient Name: Last, First Name      Provider Name: Last, First Name      Encounter #: 555555555555  
 Subscriber/Member: 55555555 / 00      Provider NPI: 555555555      Referral #:  
 DOB: 00/00/0000      Plan: UnitedHealthcare New Mexico      Referral Date:  
 Office Reference No: 55555555      Product: UHC NM Medicaid Adult      Benefit Level: In Network

ITM	DOS	CODE	POS	BILLED		ALLOWED		PAY %	PAYABLE AMOUNT	COPAY AMOUNT	COINS AMOUNT	DEDUCT AMOUNT	PATIENT PAY	OTHER INSUR	NET AMOUNT	PAY CODE
				QTY	AMOUNT	QTY	AMOUNT									
1	10/12/17	D0120 00	11	1	\$50.00	1	\$0.00	100.00 %	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	FFS
2	10/12/17	D0220 00	11	1	\$25.00	1	\$9.58	100.00 %	\$9.58	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$9.58	FFS
3	10/12/17	D0230 00	11	1	\$20.00	1	\$7.98	100.00 %	\$7.98	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$7.98	FFS
4	10/12/17	D0274 00	11	1	\$50.00	1	\$21.63	100.00 %	\$21.63	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$21.63	FFS
5	10/12/17	D2392 13 DO	11	1	\$135.00	1	\$71.84	100.00 %	\$71.84	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$71.84	FFS
					<b>\$280.00</b>		<b>\$111.03</b>		<b>\$111.03</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$111.03</b>	

ITEM: 1      Exception Code: 1039      This service is not covered under the plan.

Patient Name: Last, First Name      Provider Name: Last, First Name      Encounter #: 555555555555  
 Subscriber/Member: 55555555 / 00      Provider NPI: 555555555      Referral #:  
 DOB: 00/00/0000      Plan: UnitedHealthcare New Mexico      Referral Date:  
 Office Reference No: 55555555      Product: UHC NM Medicaid      Benefit Level: In Network

ITM	DOS	CODE	POS	BILLED		ALLOWED		PAY %	PAYABLE AMOUNT	COPAY AMOUNT	COINS AMOUNT	DEDUCT AMOUNT	PATIENT PAY	OTHER INSUR	NET AMOUNT	PAY CODE
				QTY	AMOUNT	QTY	AMOUNT									
1	10/12/17	D0150 00	11	1	\$55.00	1	\$39.66	100.00 %	\$39.66	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$39.66	FFS
2	10/12/17	D0210 00	11	1	\$125.00	1	\$40.72	100.00 %	\$40.72	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$40.72	FFS
3	10/12/17	D1120 00	11	1	\$60.00	1	\$21.95	100.00 %	\$21.95	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$21.95	FFS
4	10/12/17	D1208 00	11	1	\$25.00	1	\$11.98	100.00 %	\$11.98	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$11.98	FFS
					<b>\$265.00</b>		<b>\$114.31</b>		<b>\$114.31</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$114.31</b>	



## 9.5 Overpayment

If you find an overpaid claim, notify us of the overpayment immediately. Send us the overpayment within the time specified in your Agreement. If your payment is not received by that time, we may apply the overpayment against future claim payments in accordance with our Agreement and applicable law.

If you prefer us to recoup the funds from your next payment, call Provider Services.

If you prefer to mail a refund, send an Overpayment Return Check to:

Overpayment  
P.O. Box 481  
Milwaukee, WI 53201

Include the following information with the Overpayment Return Check:

- Name and contact information for the person authorized to sign checks or approve financial decisions.
- Member identification number
- Date of service
- Original claim number (if known)
- Date of payment
- Amount paid
- Amount of overpayment
- Overpayment reason
- Check number

## 9.6 Tips for successful claims resolution

- Do not let claim issues grow or go unresolved.
- Call Provider Services if you can't verify a claim is on file.
- Do not resubmit validated claims on file unless submitting a corrected claim with the required indicators.
- File adjustment requests and claims disputes within contractual time requirements.
- If you must exceed the maximum daily frequency for a procedure, submit the medical records justifying medical necessity. If you have questions, call Provider Services.
- UnitedHealthcare Community Plan is the payer of last resort. This means you must bill and get an EOB from other insurance or source of health care coverage before billing UnitedHealthcare Community Plan. Secondary claims must be received within 120 calendar days from the date of receipt. .
- When submitting appeal or reconsiderations requests, provide the same information required for a clean claim. Explain the discrepancy, what should have been paid and why.

## 9.7 Payment for non-covered services

When non-covered services are provided for Medicaid members, providers shall hold members and UnitedHealthcare Community Plan harmless, except as outlined below.

In instances when non-covered services are recommended by the provider or requested by the member, an Informed Consent Form or similar waiver must be signed by the member confirming:



- That the member was informed and given written acknowledgement regarding proposed treatment plan and associated costs in advance of rendering treatment;
- That those specific services are not covered under the member's plan and that the member is financially liable for such services rendered.
- That the member was advised that they have the right to request a determination from the insurance company prior to services being rendered.

**Please note:** It is recommended that benefits and eligibility be confirmed by the provider before treatment is rendered. Members are held harmless and cannot be billed for services that are covered under the plan.

## 9.8 Radiology requirements

Guidelines for providing radiographs are as follows:

- Send a copy or duplicate radiograph instead of the original.
- Radiograph must be diagnostic for the condition or site.
- Radiographs should be mounted and labeled with the practice name, patient name and exposure date (not the duplication date).
- When a radiograph does not demonstrate a clinical condition well, an intra-oral photo and/or narrative are suggested as additional diagnostic aides.

Electronic submission, rather than paper copies of digital x-rays is preferred. Film copies are only accepted if labeled, mounted and paper clipped to the authorization. Please do not utilize staples.

X-rays submitted with Authorizations or Claims will not be returned. This includes original film radiographs, duplicate films, paper copies of x-rays and photographs.

Orthodontic and other models are not accepted forms of supporting documentation and will not be reviewed. Orthodontic models will be returned to you along with a copy of the paperwork submitted.

Please note: Authorizations, including attachments, can be submitted online at no additional cost by visiting our website: [UHCdental.com/medicaid](https://UHCdental.com/medicaid).

## 9.9 Corrected claim submission guidelines

A corrected claim should ONLY be submitted when an original claim or service was PAID based upon incorrect information. As part of the process, the original claim will be recouped, and a new claim processed in its place with any necessary changes.

Examples of correction(s) for a prior paid claim are:

- Incorrect Provider NPI or location
- Payee Tax ID
- Incorrect Member
- Procedure codes
- Services originally billed and paid at incorrect fees (including no fees)
- Services originally billed and paid without primary insurance

A corrected claim may be submitted using the methods below:

- Electronically through Clearing House





- Electronically through the Dental Hub if original claim was submitted on the Dental Hub. If original claim was not submitted on the Dental Hub, another method should be utilized.
- Paper to the mailing address below

UnitedHealthcare Community Plan Corrected Claims  
P.O. Box 481  
Milwaukee, WI 53201

Electronic submission is the most efficient and preferred method. If Providers do not have access to electronic submissions, and need to submit on paper, the following steps are required.

- Must be submitted to the Corrected Claims P.O. Box for proper processing and include the following:
  - Current version of the ADA form and all required information
  - The ADA form must be clearly noted “Corrected Claim”
  - In the remarks field (Box 35) on the ADA form indicate the original paid encounter number and record all corrections you are requesting to be made.

**Note:** If all information does not fit in Box 35, please attach an outline of corrections to the claim form.

If a claim or service originally DENIED due to incorrect or missing information/authorization, or was not previously processed for payment, DO NOT submit a corrected claim. Denied services have no impact on member tooth history or service accumulators, and, as such, do not require reprocessing. Submit a new claim with the updated information per your normal claim submission channels. Timely filing limitations apply when a denied claim is being resubmitted with additional information for processing.

If you received a claim or service denial which you do not agree with, including denials for no authorization, please refer to the appeals language on the Provider Remittance Advice for guidance with the appeals process applicable to the state plan.



# Appendices for the State of New Mexico



# Appendix A: Resources and services — how we help you

## Addresses and phone numbers

Need:	Address:	Phone number:	Payer I.D.:	Submission guidelines:	Form(s) required:
Claim Submission (initial)	Claims: P.O. Box 251 Milwaukee, WI 53201	<b>1-888-445-9817</b>	GP133	Within 90 calendar days from the date of service For secondary claims, within 120 calendar days from the date of receipt	ADA* Claim Form, 2019 version or later
Corrected Claims	Corrected Claims: P.O. Box 481 Milwaukee, WI 53201	<b>1-888-445-9817</b>	N/A	Within 365 days from date of service.	ADA Claim Form Reason for requesting adjustment or resubmission
Claim Appeals (Appeal of a denied or reduced payment)	Claim Appeals: P.O. Box 1385 Milwaukee, WI 53201	<b>1-888-445-9817</b>	N/A	Within 60 days after the claim determination	Supporting documentation, including claim number is required for processing.
Prior Authorization Requests	Pre-authorizations: P.O. Box 588 Milwaukee, WI 53201	<b>1-888-445-9817</b>	GP133	N/A	ADA Claim Form - check the box titled: Request for Predetermination / Preauthorization section of the ADA Dental Claim Form
Member Benefit Appeal for Service Authorization (Appeal of a denied or reduced service)	UnitedHealthcare Community Attn: Appeals and Grievances Unit P.O. Box 31364 Salt Lake City, UT 84131-0364	<b>1-866-293-1796</b>	N/A	Within 60 calendar days from the date of the adverse benefit determination	N/A



# Appendix B: Member benefits/exclusions and limitations

For the most updated member benefits, exclusions, and limitations please visit our website at [UHCdental.com/medicaid](https://UHCdental.com/medicaid). We align benefit design to meet all regulatory requirements by your state's Medicaid and legislature included in your state's Medicaid Provider Billing Manual.

## B.1 Exclusions & limitations

Please refer to the benefits grid for applicable exclusions and limitations and covered services. Standard ADA coding guidelines are applied to all claims.

Any service not listed as a covered service in the benefit grids (Appendix B.2) is excluded.

Please call Provider Services if you have any questions regarding frequency limitations.

### General exclusions

1. Unnecessary dental services.
2. Any dental procedure performed solely for cosmetic/aesthetic reasons.
3. Reconstructive surgery, regardless of whether or not the surgery is incidental to a dental disease, injury, or congenital anomaly when the primary purpose is to improve physiological functioning of the involved part of the body.
4. Any dental procedure not directly associated with dental disease.
5. Any procedure not performed in a dental setting that has not had prior authorization.
6. Procedures that are considered experimental, investigational or unproven. This includes pharmacological regimens not accepted by the American Dental Association Council on Dental Therapeutics. The fact that an experimental, investigational or unproven service, treatment, device or pharmacological regimen is the only available treatment for a particular condition will not result in coverage if the procedure is considered to be experimental, investigational or unproven in the treatment of that particular condition.
7. Service for injuries or conditions covered by workers' compensation or employer liability laws, and services that are provided without cost to the covered persons by any municipality, county or other political subdivision. This exclusion does not apply to any services covered by Medicaid or Medicare.
8. Expenses for dental procedures begun prior to the covered person's eligibility with the plan.
9. Dental services otherwise covered under the policy, but rendered after the date that an individual's coverage under the policy terminates, including dental services for dental conditions arising prior to the date that an individual's coverage under the policy terminates.
10. Services rendered by a provider with the same legal residence as a covered person or who is a member of a covered person's family, including a spouse, brother, sister, parent or child.
11. Charges for failure to keep a scheduled appointment without giving the dental office proper notification.



## B.2 Benefit grid

The following Benefit Grid contains all covered dental procedures and is intended to align to all State and Federal regulatory requirements; therefore, this Grid is subject to change. For the most updated member benefits, exclusions, and limitations please visit our website at [UHCdental.com/medicaid](https://UHCdental.com/medicaid).

### New Mexico Turquoise Care benefit grid

Code	Description	Age limits	Frequency limits	Auth required	Required documentation
D0120	Periodic Oral Exam	0 - 20	1 per 6 Months	N	
D0120	Periodic Oral Exam	21 - 999	1 per 12 Months	N	
D0140	Limited Oral Evaluation - Problem Focused	0 - 999		N	
D0150	Comprehensive Oral Evaluation - New Or Established Patient	0 - 999	1 per 12 Months	N	
D0210	Intraoral - Comprehensive Series of Radiographic Images	0 - 999	1 per 5 Years (rolling year)	N	
D0220	Intraoral - Periapical First Radiographic Image	0 - 999	1 per 1 Day	N	
D0230	Intraoral - Periapical Each Additional Image	0 - 999		N	
D0240	Intraoral - Occlusal Radiographic Image	0 - 999	2 per 12 Months	N	
D0250	Extraoral - 2D Projection Radiographic image	0 - 999	1 per 6 Months	N	
D0251	Extraoral Posterior Dental Radiographic Image	0 - 999	1 per 12 Months	N	
D0270	Bitewing - Single Radiographic Image	0 - 999	4 per 12 Months	N	
D0272	Bitewings - Two Radiographic Images	0 - 999	2 per 12 Months	N	
D0274	Bitewings - Four Radiographic Images	0 - 999	1 per 12 Months	N	
D0310	Sialography	0 - 999	2 per 12 Months	Y	Narrative of medical necessity
D0320	Temporomandibular Joint Arthrogram, Including Injection	0 - 999	2 per 12 Months	Y	Narrative of medical necessity
D0321	Other Temporomandibular Joint Radiographic Images, By Report	0 - 999	2 per 12 Months	Y	Narrative of medical necessity
D0322	Tomographic Survey	0 - 999	1 per 12 Months	Y	Narrative of medical necessity
D0330	Panoramic Radiographic Image	0 - 999	1 per 5 Years (rolling year)	N	
D0340	2D Cephalometric Radiographic Image	0 - 999	1 per 60 Months	N	
D0364	Cone Beam - Less Than One Whole Jaw	0 - 999	1 per 12 Months	Y	Narrative of medical necessity
D0365	Cone Beam - One Full Dental Arch - Mandible	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0366	Cone Beam - One Full Dental Arch - Maxilla	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0367	Cone Beam - Both Jaws	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0368	Cone Beam o TMJ Series	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0369	Maxillofacial MRI	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0370	Maxillofacial Ultrasound	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0371	Sialoendoscopy	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0372	Intraoral Tomosynthesis - Comprehensive Series of Radiographic Images	0 - 999	1 per 5 Years (rolling year)	N	
D0373	Intraoral Tomosynthesis - Bitewing Radiographic Image	0 - 999	1 per 5 Years (rolling year)	N	
D0374	Intraoral Tomosynthesis - Periapical Radiographic Image	0 - 999	1 per 5 Years (rolling year)	N	
D0380	Cone Beam - Less Than One Whole Jaw	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity



## Appendix B | Member benefits/exclusions and limitations

Code	Description	Age limits	Frequency limits	Auth required	Required documentation
D0381	Cone Beam - One Full Dental Arch - Mandible	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0382	Cone Beam - One Full Dental Arch - Maxilla	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0383	Cone Beam - Both Jaws	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0384	Cone Beam o TMJ Series	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0385	Maxillofacial MRI	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0386	Maxillofacial Ultrasound	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0387	Intraoral Tomosynthesis - Comprehensive Series of Radiographic Images - Image Capture Only	0 - 999	1 per 5 Years (rolling year)	N	
D0388	Intraoral Tomosynthesis - Bitewing Radiographic Image - Image Capture Only	0 - 999	1 per 5 Years (rolling year)	N	
D0389	Intraoral Tomosynthesis - Periapical Radiographic Image - Image Capture Only	0 - 999	1 per 5 Years (rolling year)	N	
D0391	Interpretation Of Diagnostic Image	0 - 999	1 per 5 Years (rolling year)	Y	Narrative of medical necessity
D0415	Collection Of Microorganisms For Culture And Sensitivity	0 - 999	1 per 12 Months	Y	Description of procedure and narrative of medical necessity
D0502	Other Pathology Procedures, By Report	0 - 999	1 per 12 Months	Y	Description of procedure and narrative of medical necessity
D0999	FQHC Encounter Payment	0 - 999		N	
D1110	Prophylaxis - Adult	0 - 999	1 per 12 Months	N	
D1120	Prophylaxis - Child	0 - 999	1 per 6 Months	N	
D1206	Topical Application Of Fluoride Varnish	0 - 20	1 per 6 Months	N	
D1206	Topical Application Of Fluoride Varnish	21 - 999	1 per 1 Lifetime	N	
D1208	Topical Application of Fluoride	0 - 20	1 per 6 Months	N	
D1208	Topical Application of Fluoride	21 - 999	1 per 12 Months	N	
D1351	Sealant - Per Tooth	0 - 999	1 per 5 Years (rolling year)	N	
D1354	Interim Caries Arresting Medicament Application - per tooth	0 - 999	1 per 6 Months	N	
D1510	Space Maintainer - Fixed - Unilateral - per quadrant	0 - 999	1 per 1 Lifetime	N	
D1516	Space Maintainer - Fixed - Bilateral, maxillary	0 - 999	1 per 1 Lifetime	N	
D1517	Space Maintainer - Fixed - Bilateral, mandibular	0 - 999	1 per 1 Lifetime	N	
D1575	Distal shoe space maintainer - fixed - per quadrant	0 - 999	1 per 1 Lifetime	N	
D2140	Amalgam - One Surface, Primary Or Permanent	0 - 999	1 per 12 Months	N	
D2150	Amalgam - Two Surfaces, Primary Or Permanent	0 - 999	1 per 12 Months	N	
D2160	Amalgam - Three Surfaces, Primary Or Permanent	0 - 999	1 per 12 Months	N	
D2161	Amalgam - Four Or More Surfaces, Primary Or Permanent	0 - 999	1 per 12 Months	N	
D2330	Resin-Based Composite - One Surface, Anterior	0 - 999	1 per 12 Months	N	
D2331	Resin-Based Composite - Two Surfaces, Anterior	0 - 999	1 per 12 Months	N	
D2332	Resin-Based Composite - Three Surfaces, Anterior	0 - 999	1 per 12 Months	N	
D2335	Resin-Based Composite - Four or More Surfaces, Anterior	0 - 999	1 per 12 Months	N	
D2390	Resin-Based Composite Crown, Anterior	0 - 999	1 per 1 lifetime	N	
D2391	Resin-Based Composite - One Surface, Posterior	0 - 999	1 per 12 Months	N	



## Appendix B | Member benefits/exclusions and limitations

Code	Description	Age limits	Frequency limits	Auth required	Required documentation
D2392	Resin-Based Composite - Two Surfaces, Posterior	0 - 999	1 per 12 Months	N	
D2393	Resin-Based Composite - Three Surfaces, Posterior	0 - 999	1 per 12 Months	N	
D2394	Resin-Based Composite - Four Or More Surfaces, Posterior	0 - 999	1 per 12 Months	N	
D2710	Crown - Resin-Based Composite (Indirect)	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays of adjacent and opposing teeth
D2740	Crown - Porcelain/Ceramic	0 - 999	1 per 5 Years (rolling year)	N	
D2751	Crown - Porcelain Fused To Predominantly Base Metal	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays of adjacent and opposing teeth
D2752	Crown - Porcelain Fused To Noble Metal	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays of adjacent and opposing teeth
D2791	Crown - Full Cast Predominantly Base Metal	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays of adjacent and opposing teeth
D2792	Crown - Full Cast Noble Metal	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays of adjacent and opposing teeth
D2910	Re-Cement Or Re-Bond Inlay, Onlay, Veneer Or Partial Coverage Restoration	0 - 999	1 per 1 lifetime	N	
D2920	Re-Cement or Re-Bond Crown	0 - 999	1 per 1 lifetime	N	
D2928	Prefabricated Porcelain / Ceramic Crown - Permanent tooth	0 - 999	1 per 1 lifetime	N	
D2929	Prefabricated Porcelain / Ceramic Crown - Primary Tooth	0 - 999	1 per 1 lifetime	N	
D2930	Prefabricated Stainless Steel Crown - Primary Tooth	0 - 999	1 per 1 lifetime	N	
D2931	Prefabricated Stainless Steel Crown - Permanent Tooth	0 - 999	1 per 1 lifetime	N	
D2932	Prefabricated Resin Crown	0 - 999	1 per 1 lifetime	N	
D2933	Prefabricated Stainless Steel Crown With Resin Window	0 - 999	1 per 1 lifetime	N	
D2934	Prefabricated Esthetic Coated Stainless Steel Crown - Primary Tooth	0 - 999	1 per 1 lifetime	N	
D2940	Protective Restoration	0 - 999	1 per 5 Years (rolling year)	N	
D2950	Core Buildup, Including Any Pins When Required	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D2951	Pin Retention - Per Tooth, In Addition To Restoration	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D2952	Post And Core In Addition To Crown, Indirectly Fabricated	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D2953	Each Additional Indirectly Fabricated Post - Same Tooth	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D2954	Prefabricated Post And Core In Addition To Crown	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D2955	Post Removal	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D2957	Each Additional Prefabricated Post - Same Tooth	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D2980	Crown Repair	0 - 999	1 per 5 Years (rolling year)	Y	Pre-op x-rays (excluding bitewings)
D2999	Unspecified Restorative Procedure, By Report	0 - 999		Y	Pre-op x-rays, narrative of medical necessity and description of procedure
D3220	Therapeutic Pulpotomy	0 - 999	1 per 1 Lifetime	N	
D3222	Partial Pulpotomy For Apexogenesis - Permanent Tooth	0 - 999	1 per 1 Lifetime	N	
D3310	Endodontic Therapy, Anterior Tooth (Excluding Final Restoration)	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings)



## Appendix B | Member benefits/exclusions and limitations

Code	Description	Age limits	Frequency limits	Auth required	Required documentation
D3320	Endodontic Therapy Premolar Tooth (Excluding Final Restoration)	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings)
D3330	Endodontic Therapy, Molar tooth (Excluding Final Restoration)	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings)
D3351	Apexification / Recalcification - Initial Visit	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3352	Apexification / Recalcification - Interim	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3353	Apexification / Recalcification - Final Visit	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3410	Apicoectomy - Anterior	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3421	Apicoectomy - Premolar (First Root)	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3425	Apicoectomy - Molar (First Root)	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3426	Apicoectomy - Each Additional Root	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3430	Retrograde Filling - Per Root	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3450	Root Amputation - Per Root	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3911	Intraorifice Barrier	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3921	Decoronation or submergence of an erupted tooth	0 - 999	1 per 1 Lifetime	Y	Pre-op x-rays (excluding bitewings) and narrative of medical necessity
D3999	Unspecified Endodontic Procedure, By Report	0 - 999		Y	Pre-op x-rays, narrative of medical necessity and description of procedure
D4210	Gingivectomy Or Gingivoplasty - Four Or More Contiguous Teeth	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4211	Gingivectomy Or Gingivoplasty - One To Three Contiguous Teeth	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4240	Gingival Flap Procedure, Including Root Planing - Four Or More Contiguous Teeth	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4249	Clinical Crown Lengthening - Hard Tissue	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4260	Osseous Surgery (Including Flap And Closure) - Four Or More Teeth	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4263	Bone Replacement Graft - First Site In Quadrant	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4264	Bone Replacement Graft - Each Additional Site In Quadrant	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4266	Guided Tissue Generation, Natural Teeth - Resorbable Barrier, Per Site	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4267	Guided Tissue Regeneration, Natural Teeth - Nonresorbable Barrier, Per Site	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4270	Pedicle Soft Tissue Graft Procedure	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4273	Autogenous Connective Tissue Graft Proc, First Tooth, Implant Or Tooth Position	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type
D4274	Distal Or Proximal Wedge Procedure	0 - 999	1 per 36 Months	Y	Pre-op X-rays (excluding Bitewing x-rays), Complete Perio Chart w/ AAP Case type





## Appendix B | Member benefits/exclusions and limitations

Code	Description	Age limits	Frequency limits	Auth required	Required documentation
D4277	Free Soft Tissue Graft Procedure (Including Donor Site Surgery) First	0 - 999	1 per 36 Months	N	
D4278	Free Soft Tissue Graft Procedure (Including Donor Site Surgery) Each Additional	0 - 999	1 per 36 Months	N	
D4286	Removal of Non-Resorbable Barrier	0 - 999	1 per 36 Months	N	
D4322	Splint - Intra-Coronal Natural Teeth or Prosthetic Crowns	0 - 999	1 per 36 Months	N	
D4323	Splint - Extra-Coronal Natural Teeth or Prosthetic Crowns	0 - 999	1 per 36 Months	N	
D4341	Periodontal Scaling And Root Planing - Four Or More Teeth Per Quadrant	0 - 999	1 per 24 Months	Y	Periodontal charting and pre-op x-rays
D4346	Scaling in Moderate or Severe Gingival Inflammation	0 - 999	1 per 12 Months	N	
D4910	Periodontal Maintenance	0 - 999	2 per 1 Year (rolling year)	Y	Date of previous periodontal surgical or scaling and root planing service
D4999	Unspecified Periodontal Procedure, By Report	0 - 999		Y	Description of procedure, Periodontal charting and Pre-op x-rays
D5110	Complete Denture - Maxillary	0 - 999	1 per 60 Months	Y	A FMX or panoramic x-rays
D5120	Complete Denture - Mandibular	0 - 999	1 per 60 Months	Y	A FMX or panoramic x-rays
D5130	Immediate Denture - Maxillary	0 - 999	1 per 1 lifetime	Y	A FMX or panoramic x-rays
D5140	Immediate Denture - Mandibular	0 - 999	1 per 1 lifetime	Y	A FMX or panoramic x-rays
D5211	Maxillary Partial Denture - Resin Base	0 - 999	1 per 60 Months	Y	Pano/FMX, Date of prior placement, Narrative Charted missing and extracted teeth
D5212	Mandibular Partial Denture - Resin Base	0 - 999	1 per 60 Months	Y	Pano/FMX, Date of prior placement, Narrative Charted missing and extracted teeth
D5213	Maxillary Partial Denture - cast metal framework with resin denture bases	0 - 999	1 per 60 Months	Y	Pano/FMX, Date of prior placement, Narrative Charted missing and extracted teeth
D5214	Mandibular Partial Denture - cast metal framework with resin denture bases	0 - 999	1 per 60 Months	Y	Pano/FMX, Date of prior placement, Narrative Charted missing and extracted teeth
D5221	Immediate Maxillary Partial Denture - resin base	0 - 999	1 per 60 Months	Y	Pano/FMX, Date of prior placement, Narrative Charted missing and extracted teeth
D5222	Immediate Mandibular Partial Denture - resin base	0 - 999	1 per 60 Months	Y	Pano/FMX, Date of prior placement, Narrative Charted missing and extracted teeth
D5223	Immediate Maxillary Partial Denture - cast metal framework with resin base	0 - 999	1 per 60 Months	Y	Pano/FMX, Date of prior placement, Narrative Charted missing and extracted teeth
D5224	Immediate Mandibular Partial Denture - cast metal framework with resin base	0 - 999	1 per 60 Months	Y	Pano/FMX, Date of prior placement, Narrative Charted missing and extracted teeth
D5227	Immediate Maxillary Partial Denture - flexible base (including any clasps, rests)	0 - 999	1 per 60 Months	Y	Pano/FMX, Date of prior placement, Narrative Charted missing and extracted teeth
D5228	Immediate Mandibular Partial Denture - flexible base (including any clasps, rest)	0 - 999	1 per 60 Months	Y	Pano/FMX, Date of prior placement, Narrative Charted missing and extracted teeth
D5410	Adjust Complete Denture - Maxillary	0 - 999	2 per 1 Year (rolling year)	N	
D5411	Adjust Complete Denture - Mandibular	0 - 999	2 per 1 Year (rolling year)	N	
D5421	Adjust Partial Denture - Maxillary	0 - 999	2 per 1 Year (rolling year)	N	
D5422	Adjust Partial Denture - Mandibular	0 - 999	2 per 1 Year (rolling year)	N	
D5511	Repair Broken Complete Denture Base - Mandibular	0 - 999	2 per 5 Years (rolling year)	N	
D5512	Repair Broken Complete Denture Base - Maxillary	0 - 999	2 per 5 Years (rolling year)	N	
D5520	Replace Missing Or Broken Teeth - Complete Denture (Each Tooth)	0 - 999	2 per 5 Years (rolling year)	N	
D5611	Repair Resin Partial Denture Base - Mandibular	0 - 999	2 per 5 Years (rolling year)	N	



## Appendix B | Member benefits/exclusions and limitations

Code	Description	Age limits	Frequency limits	Auth required	Required documentation
D5612	Repair Resin Partial Denture Base - Maxillary	0 - 999	2 per 5 Years (rolling year)	N	
D5621	Repair Cast Partial Framework - Mandibular	0 - 999	2 per 5 Years (rolling year)	N	
D5622	Repair Cast Partial Framework - Maxillary	0 - 999	2 per 5 Years (rolling year)	N	
D5630	Repair Or Replace Broken Retentive / Clasping Materials - Per Tooth	0 - 999	2 per 5 Years (rolling year)	N	
D5640	Replace Broken Teeth - Per Tooth	0 - 999	2 per 5 Years (rolling year)	N	
D5650	Add Tooth To Existing Partial Denture	0 - 999	2 per 12 Months	N	
D5660	Add Clasp To Existing Partial Denture - Per Tooth	0 - 999	2 per 12 Months	N	
D5725	Rebase hybrid prosthesis	0 - 999	2 per 12 Months	N	
D5750	reline complete maxillary denture (indirect)	0 - 999	2 per 12 Months	N	
D5751	reline complete mandibular denture (indirect)	0 - 999	2 per 12 Months	N	
D5760	reline maxillary partial denture (indirect)	0 - 999	2 per 12 Months	N	
D5761	reline mandibular partial denture (indirect)	0 - 999	2 per 12 Months	N	
D5765	Soft liner for complete or partial removable denture - indirect	0 - 999	2 per 12 Months	N	
D5850	Tissue Conditioning, Maxillary	0 - 999	1 per 12 Months	N	
D5851	Tissue Conditioning, Mandibular	0 - 999	1 per 12 Months	N	
D5863	Overdenture - Complete Maxillary	0 - 999	1 per 60 Months	Y	Panoramic or FMX
D5864	Overdenture - Partial Maxillary	0 - 999	1 per 60 Months	Y	Panoramic or FMX
D5865	Overdenture - Complete Mandibular	0 - 999	1 per 60 Months	Y	Panoramic or FMX
D5866	Overdenture - Partial Mandibular	0 - 999	1 per 60 Months	Y	Panoramic or FMX
D5899	Unspecified Removable Prosthodontic Procedure, By Report	0 - 999		Y	Description of procedure, FMX or Panoramic and narrative of medical necessity
D5911	Facial Moulage (Sectional)	0 - 999		Y	Narrative of medical necessity
D5912	Facial Moulage (Complete)	0 - 999		Y	Narrative of medical necessity
D5913	Nasal Prosthesis	0 - 999		Y	Narrative of medical necessity
D5914	Auricular Prosthesis	0 - 999		Y	Narrative of medical necessity
D5915	Orbital Prosthesis	0 - 999		Y	Narrative of medical necessity
D5916	Ocular Prosthesis	0 - 999		Y	Narrative of medical necessity
D5919	Facial Prosthesis	0 - 999		Y	Narrative of medical necessity
D5922	Nasal Septal Prosthesis	0 - 999		Y	Narrative of medical necessity
D5923	Ocular Prosthesis, Interim	0 - 999		Y	Narrative of medical necessity
D5924	Cranial Prosthesis	0 - 999		Y	Narrative of medical necessity
D5925	Facial Augmentation Implant Prosthesis	0 - 999		Y	Narrative of medical necessity
D5926	Nasal Posthesis, Replacement	0 - 999		Y	Narrative of medical necessity
D5927	Auricular Prosthesis, Replacement	0 - 999		Y	Narrative of medical necessity
D5928	Orbital Prosthesis, Replacement	0 - 999		Y	Narrative of medical necessity
D5929	Facial Prosthesis, Replacement	0 - 999		Y	Narrative of medical necessity
D5931	Obturator Prosthesis, Surgical	0 - 999		Y	Narrative of medical necessity
D5932	Obturator Prosthesis, Definitive	0 - 999		Y	Narrative of medical necessity
D5933	Obturator Prosthesis, Modification	0 - 999		Y	Narrative of medical necessity
D5934	Mandibular Resection Prosthesis With Guide Flange	0 - 999		Y	Narrative of medical necessity



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Code	Description	Age limits	Frequency limits	Auth required	Required documentation
D5935	Mandibular Resection Prosthesis Without Guide Flange	0 - 999		Y	Narrative of medical necessity
D5936	Obturator Prosthesis, Interim	0 - 999		Y	Narrative of medical necessity
D5937	Trismus Appliance (Not For Tmd Treatment)	0 - 999		Y	Narrative of medical necessity
D5951	Feeding Aid	0 - 999		Y	Narrative of medical necessity
D5952	Speech Aid Prosthesis, Pediatric	0 - 999		Y	Narrative of medical necessity
D5953	Speech Aid Prosthesis, Adult	0 - 999		Y	Narrative of medical necessity
D5954	Palatal Augmentation Prosthesis	0 - 999		Y	Narrative of medical necessity
D5955	Palatal Lift Prosthesis, Definitive	0 - 999		Y	Narrative of medical necessity
D5958	Palatal Lift Prosthesis, Interim	0 - 999		Y	Narrative of medical necessity
D5959	Palatal Lift Prosthesis, Modification	0 - 999		Y	Narrative of medical necessity
D5960	Speech Aid Prosthesis, Modification	0 - 999		Y	Narrative of medical necessity
D5982	Surgical Stent	0 - 999		Y	Narrative of medical necessity
D5983	Radiation Carrier	0 - 999		Y	Narrative of medical necessity
D5984	Radiation Shield	0 - 999		Y	Narrative of medical necessity
D5985	Radiation Cone Locator	0 - 999		Y	Narrative of medical necessity
D5986	Fluoride Gel Carrier	0 - 999		Y	Narrative of medical necessity
D5987	Commissure Splint	0 - 999		Y	Narrative of medical necessity
D5988	Surgical Splint	0 - 999		Y	Narrative of medical necessity
D5999	Unspecified Maxillofacial Prosthesis, By Report	0 - 999		Y	Description of procedure and narrative of medical necessity
D6930	Re-Cement Or Re-Bond Fixed Partial Denture	0 - 999	1 per 1 lifetime	N	
D6980	Fixed Partial Denture Repair	0 - 999	1 per 1 lifetime	N	
D7111	Extraction, Coronal Remnants - Primary Tooth	0 - 999	1 per 1 lifetime	N	
D7140	Extraction, Erupted Tooth Or Exposed Root	0 - 999	1 per 1 Lifetime	N	
D7210	Extraction, Erupted Tooth	0 - 999	1 per 1 Lifetime	N	
D7220	Removal Of Impacted Tooth - Soft Tissue	0 - 999	1 per 1 Lifetime	N	
D7230	Removal Of Impacted Tooth - Partially Bony	0 - 999	1 per 1 Lifetime	N	
D7240	Removal Of Impacted Tooth - Completely Bony	0 - 999	1 per 1 Lifetime	N	
D7241	Removal Of Impacted Tooth - Completely Bony, Unusual Surgical Complications	0 - 999	1 per 1 Lifetime	N	
D7250	Removal Of Residual Tooth (Cutting Procedure)	0 - 999	1 per 1 Lifetime	N	
D7260	Oroantral Fistula Closure	0 - 999	2 per 1 lifetime	N	
D7270	Reimplantation And/Or Stabilization Of Accidentally Evulsed / Displaced Tooth	0 - 999	1 per 1 lifetime	N	
D7280	Exposure of an Unerupted Tooth	0 - 999	1 per 1 lifetime	N	
D7283	Placement Of Device To Facilitate Eruption Of Impacted Tooth	0 - 999	1 per 1 lifetime	N	
D7285	Incisional Biopsy Of Oral Tissue - Hard (Bone, Tooth)	0 - 999		N	
D7286	Incisional Biopsy Of Oral Tissue - Soft	0 - 999		N	
D7290	Surgical Repositioning Of Teeth	0 - 999	1 per 1 Lifetime	N	
D7291	Transseptal Fiberotomy/Supra Crestal Fiberotomy, By Report	0 - 999	1 per 1 Lifetime	N	



## Appendix B | Member benefits/exclusions and limitations

Code	Description	Age limits	Frequency limits	Auth required	Required documentation
D7310	Alveoloplasty In Conjunction With Extractions - Four Or More Teeth	0 - 999	1 per 1 Lifetime	N	
D7320	Alveoloplasty Not In Conjunction With Extractions - Four Or More Teeth	0 - 999	1 per 1 Lifetime	N	
D7340	Vestibuloplasty - Ridge Extension (Secondary Epithelialization)	0 - 999	1 per 1 Lifetime	N	
D7350	Vesibuloplasty - Ridge Extension (Including Soft Tissue Grafts)	0 - 999	1 per 1 Lifetime	N	
D7410	Excision Of Benign Lesion Up To 1.25 Cm	0 - 999		N	
D7411	Excision Of Benign Lesion Greater Than 1.25 Cm	0 - 999		N	
D7412	Excision Of Benign Lesion, Complicated	0 - 999		N	
D7440	Excision Of Malignant Tumor - Lesion Diameter Up To 1.25 Cm	0 - 999		N	
D7441	Excision Of Malignant Tumor - Lesion Diameter Greater Than 1.25 Cm	0 - 999		N	
D7450	Removal Of Benign Odontogenic Cyst Or Tumor - Dia Up To 1.25 Cm	0 - 999		N	
D7451	Removal Of Benign Odontogenic Cyst Or Tumor - Dia Greater Than 1.25 Cm	0 - 999		N	
D7460	Removal Of Benign Nonodontogenic Cyst Or Tumor - Dia Up To 1.25 Cm	0 - 999		N	
D7461	Removal Of Benign Nonodontogenic Cyst Or Tumor - Dia Greater Than 1.25 Cm	0 - 999		N	
D7465	Destruction Of Lesion(S) By Physical Or Chemical Method, By Report	0 - 999		N	
D7471	Removal Of Lateral Exostosis (Maxilla Or Mandible)	0 - 999	2 per 1 lifetime	N	
D7472	Removal Of Torus Palatinus	0 - 999	1 per 1 lifetime	N	
D7473	Removal Of Torus Mandibularis	0 - 999	2 per 1 lifetime	N	
D7490	Radical Resection Of Maxilla Or Mandible	0 - 999	1 per 1 lifetime	N	
D7509	marsupialization of odontogenic cyst Surgical decompression of a large cystic le	0 - 999	2 per 1 lifetime	N	
D7510	Incision And Drainage Of Abscess - Intraoral Soft Tissue	0 - 999	2 per 1 lifetime	N	
D7520	Incision And Drainage Of Abscess - Extraoral Soft Tissue	0 - 999	2 per 1 lifetime	N	
D7530	Removal Of Foreign Body From Mucosa	0 - 999	1 per 1 lifetime	N	
D7540	Removal Of Reaction Producing Foreign Bodies	0 - 999	1 per 1 lifetime	N	
D7550	Partial Ostectomy/Sequestrectomy For Removal Of Non-Vital Bone	0 - 999		N	
D7560	Maxillary Sinusotomy For Removal Of Tooth Fragment Or Foreign Body	0 - 999		N	
D7610	Maxilla - Open Reduction (Teeth Immobilized, If Present)	0 - 999		N	
D7620	Maxilla - Closed Reduction (Teeth Immobilized, If Present)	0 - 999		N	
D7630	Mandible - Open Reduction (Teeth Immobilized, If Present)	0 - 999		N	
D7640	Mandible - Closed Reduction (Teeth Immobilized, If Present)	0 - 999		N	



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Code	Description	Age limits	Frequency limits	Auth required	Required documentation
D7650	Malar And/Or Zygomatic Arch - Open Reduction	0 - 999		N	
D7660	Malar And/Or Zygomatic Arch - Closed Reduction	0 - 999		N	
D7670	Alveolus - Closed Reduction, May Include Stabilization Of Teeth	0 - 999		N	
D7680	Facial Bones - Complicated Reduction With Fixation And Multiple Surgical	0 - 999		N	
D7710	Maxilla - Open Reduction	0 - 999		N	
D7720	Maxilla - Closed Reduction	0 - 999		N	
D7730	Mandible - Open Reduction	0 - 999		N	
D7740	Mandible - Closed Reduction	0 - 999		N	
D7750	Malar And/Or Zygomatic Arch - Open Reduction	0 - 999		N	
D7760	Malar And/Or Zygomatic Arch - Closed Reduction	0 - 999		N	
D7770	Alveolus - Open Reduction Stabilization Of Teeth	0 - 999		N	
D7780	Facial Bones - Complicated Reduction With Fixation And Multiple Approaches	0 - 999		N	
D7910	Suture Of Recent Small Wounds Up To 5 Cm	0 - 999		N	
D7911	Complicated Suture - Up To 5 Cm	0 - 999		N	
D7912	Complicated Suture - Greater Than 5 Cm	0 - 999		N	
D7920	Skin Graft (Identify Defect Covered, Location And Type Of Graft)	0 - 999		N	
D7940	Osteoplasty - For Orthognathic Deformities	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7941	Osteotomy - Mandibular Rami	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7943	Osteotomy - Mandibular Rami With Bone Graft: Includes Obtaining The Graft	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7944	Osteotomy - Segmented Or Subapical	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7945	Osteotomy - Body Of Mandible	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7946	Lefort I - (Maxilla - Total)	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7948	Lefort Ii Or Lefort Iii (Osteoplasty Of Facial Bones) - Without Bone Graft	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7949	Lefort Ii Or Lefort Iii - With Bone Graft	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7950	Osseous, Osteoperiosteal, Or Cartilage Graft Of The Mandible Or Maxilla	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7955	Repair Of Maxillofacial Soft And/Or Hard Tissue Defect	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7961	buccal / labial frenectomy (frenulectomy)	0 - 999		N	
D7962	lingual frenectomy (frenulectomy)	0 - 999		N	
D7970	Excision Of Hyperplastic Tissue - Per Arch	0 - 999		N	
D7971	Excision Of Pericoronal Gingiva	0 - 999		N	
D7979	Non-Surgical Sialolithotomy	0 - 999		N	
D7980	Surgical Sialolithotomy	0 - 999		N	
D7981	Excision Of Salivary Gland, By Report	0 - 999		N	



## Appendix B | Member benefits/exclusions and limitations

Code	Description	Age limits	Frequency limits	Auth required	Required documentation
D7982	Sialodochoplasty	0 - 999		N	
D7983	Closure Of Salivary Fistula	0 - 999		N	
D7990	Emergency Tracheotomy	0 - 999		N	
D7991	Coronoidectomy	0 - 999	2 per 1 lifetime	Y	Narrative of medical necessity and x-rays as appropriate
D7995	Synthetic Graft - Mandible Or Facial Bones, By Report	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7996	Implant - Mandible For Augmentation Purposes (Exc Alveolar Ridge)	0 - 999		Y	Narrative of medical necessity and x-rays as appropriate
D7999	Unspecified Oral Surgery Procedure, By Report	0 - 999		Y	Description of service, narrative of med. necessity, x-rays or photos (optional)
D8070	Comprehensive Orthodontic Treatment Of The Transitional Dentition	0 - 999	1 per 1 lifetime	Y	Digital Models, FMX or PAN x-ray(s), Ceph X-ray, Diag. Photos, HLD form, Tx plan
D8080	Comprehensive Orthodontic Treatment Of The Adolescent Dentition	0 - 999	1 per 1 lifetime	Y	Digital Models, FMX or PAN x-ray(s), Ceph X-ray, Diag. Photos, HLD form, Tx plan
D8090	Comprehensive Orthodontic Treatment Of The Adult Dentition	0 - 999	1 per 1 lifetime	Y	Digital Models, FMX or PAN x-ray(s), Ceph X-ray, Diag. Photos, HLD form, Tx plan
D8210	Removable Appliance Therapy	0 - 999	2 per 1 lifetime	Y	FMX or panoramic and narrative of medical necessity
D8220	Fixed Appliance Therapy	0 - 999	2 per 1 lifetime	Y	FMX or panoramic and narrative of medical necessity
D8660	Pre-Orthodontic Treatment Examination To Monitor Growth And Development	0 - 999	1 per 6 Months	Y	Narrative of medical necessity; (D8660 submitted with D8080 form paid only on denied D8080)
D8999	Unspecified Orthodontic Procedure, By Report	0 - 999		Y	COC & ADA forms, Prior approval w/pay hx/ if pp/comm- Original diag, model images
D9110	Palliative (Emergency) Treatment Of Dental Pain - Per Visit	0 - 999	1 per 1 day	Y	Treatment plan and narrative of medical necessity
D9222	Deep Sedation/General Anesthesia - First 15 Minutes	0 - 999	1 per 1 Day	Y	Treatment plan and narrative of medical necessity
D9223	Deep Sedation / General Anesthesia - Each subsequent 15 Minute Increment	0 - 999	8 per 1 Day	Y	Treatment plan and narrative of medical necessity
D9230	Inhalation Of Nitrous/Analgesia, Anxiolysis	0 - 999	1 per 1 day	N	
D9239	Intravenous Moderate (Conscious) Sedation/ Analgesia - First 15 Minutes	0 - 999	1 per 1 Day	Y	Treatment plan and narrative of medical necessity
D9243	Intravenous Moderate (Conscious) Sedation/ Analgesia - Each Subsequent 15 Minute	0 - 999	8 per 1 Day	Y	Treatment plan and narrative of medical necessity
D9248	Non-Intravenous Conscious Sedation	0 - 999	1 per 1 day	N	
D9410	House/Extended Care Facility Call	0 - 999	1 per 1 Day	N	
D9420	Hospital Or Ambulatory Surgical Center Call	0 - 999	1 per 1 Day	N	
D9610	Therapeutic Parenteral Drug, Single Administration	0 - 999	1 per 1 day	N	
D9947	Custom sleep apnea appliance fabrication and placement	0 - 999	1 per 1 lifetime	Y	Sleep study and diagnosis from physician
D9949	Repair of custom sleep apnea appliance	0 - 999	2 per 1 lifetime	Y	Sleep study and diagnosis from physician or approved D9947
D9995	Teledentistry - Synchronous; Real-Time Encounter	0 - 999	1 per 1 day	N	
D9999	Unspecified Adjunctive Procedure, By Report	0 - 999		Y	Description of procedure and narrative of medical necessity



B.3 NM HLD Form – page 1

**NM HANDICAPPING LABIO-LINGUAL DEVIATIONS**

**(The HLD Index No. 4)**

You will need this score sheet and a Boley Gauge.

**Procedure:**

- 1) Occlude patient in centric position.
- 2) Record all measurements in the order given, and rounded off to the nearest millimeter.
- 3) ENTER SCORE "O" IF CONDITION IS ABSENT.
- 4) Start by measuring OVERJET of the most protruding incisor.
- 5) Measure OVERBITE from the labio-incisal edge of overlapped front tooth or teeth to point of maximum coverage.
- 6) Score all other conditions listed.
- 7) ECTOPIC ERUPTION and ANTERIOR CROWDING1: DO NOT DOUBLE SCORE. Record the more serious condition and follow your first impression.
- 8) The use of a recorder (hygienist, assistant) is recommended.

PRINT: Patient's Name: \_\_\_\_\_ Examiner: \_\_\_\_\_

Recorder: \_\_\_\_\_

Address: \_\_\_\_\_  
 Street City/County State Zip Code

**A** \_\_\_\_\_ CONDITIONS 1-6 ARE AUTOMATIC QUALIFIERS (indicate with an "X" if condition is present and score no further)

- 1) Cleft palate deformities and other significant craniofacial anomalies. \_\_\_\_\_
- 2) Deep impinging overbite, when the lower incisors are touching the soft tissue. \_\_\_\_\_  
 This does not include occasional biting of the cheek.
- 3) Cross bite of individual anterior teeth in contact with soft tissue or the presence of more than two teeth in crossbite. \_\_\_\_\_
- 4) Impacted permanent cuspids and/or surgical intervention. The does not include cases where cuspids or incisors will erupt ectopically. \_\_\_\_\_
- 5) Overjet in excess of 7mm \_\_\_\_\_

**B** \_\_\_\_\_ CONDITIONS 7-14 MUST SCORE 26 POINTS OR MORE TO QUALIFY

- 6) Severe traumatic deviations 15= \_\_\_\_\_
- 7) Overjet in mm x1= \_\_\_\_\_
- 8) Overbite in mm x1= \_\_\_\_\_
- 9) Mandibular protrusion in mm x5= \_\_\_\_\_
- 10) Open bite in mm x1= \_\_\_\_\_
- 11) Ectopic eruption, (# of teeth, excluding third molars) x3= \_\_\_\_\_
- 12) Anterior Crowding1: Maxilla: \_\_\_\_\_ Mandible: \_\_\_\_\_ x5 ea. \_\_\_\_\_
- 13) Labio-lingual spread, in mm (anterior spacing) x1= \_\_\_\_\_
- 14) Posterior unilateral crossbite x 4= \_\_\_\_\_

A score of 26 and over constitutes a PHYSICAL HANDICAP.

**C** \_\_\_\_\_ MEDICAL NECESSITY (indicate with an "X" for consideration)

If none of the auto qualifier or the minimum score of 26 is met, then the following indicators may be considered in the determination of medical necessity:

- a. A medical condition and/or a nutritional deficiency with medical physiological impact, that is documented in the physician progress notes that predate the diagnosis and request for orthodontics. The condition must be non-responsive to medical treatment without orthodontic treat.
- b. A medical condition and/or a nutritional deficiency with medical physiological impact, that is documented in the physician progress notes that predate the diagnosis and request for orthodontics. The condition must be non-responsive to medical treatment without orthodontic treat.





## B.3 NM HLD Form – page 2

**HANDICAPPING LABIO-LINGUAL DEVIATION INDEX  
SCORING INSTRUCTIONS**

The intent of the HLD Index is to measure the presence or absence, and the degree of the handicap caused by the components of the Index, and not to diagnose “malocclusion”. All measurements are made with a Boley Gauge scaled in millimeters. Absence of any conditions must be recorded by entering “0”. (Refer to attached scoresheet.)

The following information should help clarify the categories on the HLD Index:

1. Cleft Palate Deformities: Indicate an “X” on the scoresheet. (This condition is considered to be handicapping malocclusion.)
2. Deep Impinging Overbite: Indicate an “X” on the scoresheet when lower incisors are destroying the soft tissue of the palate. (This condition is considered to be handicapping malocclusion.)
3. Crossbite of Individual Anterior Teeth: Indicate an “X” on the scoresheet when destruction of soft tissue is present. (This condition is considered to be handicapping malocclusion.)
4. Impacted permanent cuspids and/or surgical intervention: Indicate an “X” on the scoresheet. (This condition is considered to be handicapping malocclusion.)
5. Overjet in excess of 7 mm: Indicate an “X” on the scoresheet. (This condition is considered to be handicapping malocclusion.)
6. Severe Traumatic Deviations: Traumatic deviations are, for example, loss of a premaxilla segment by burns or by accident; the result of osteomyelitis; or other gross pathology. The presence of severe traumatic deviations is indicated by a score of 15 of the scoresheet.
7. Overjet in Millimeters: This is recorded with the patient in the centric relationship and measured from the labial of the lower incisor to the labial of the upper incisor. The measurement could apply to a protruding single tooth as well as to the whole arch. The measurement is read and rounded off to the nearest millimeter and entered on the scoresheet.
8. Overbite in Millimeters: A pencil mark on the tooth indicating the extent of overlap facilitates this measurement. It is measured by rounding off to the nearest millimeter and entered on the scoresheet. “Reverse” overbite may exist in certain conditions and should be measured and recorded.
9. Mandibular Protrusion in Millimeters: Score exactly as measured from the labial of the lower incisor to the labial of the upper incisor. The measurement in millimeters is entered on the scoresheet and multiplied by 5. A reverse overbite, if present, should be shown under “overbite”.
10. Open Bite in Millimeters: This condition is defined as the absence of occlusal contact in the anterior region. It is measured from edge to edge, in millimeters. This measurement is entered on the scoresheet and multiplied by 4. In cases of pronounced protrusion associated with open bite, measurement of the open bite is not always possible. In those cases, a close approximation can usually be estimated.
11. Ectopic Eruption: Count each tooth, excluding third molars. Enter the number of teeth on the scoresheet and multiply by 3. If condition No. 10, anterior crowding, is also present, with an ectopic eruption in the anterior portion of the mouth, score only the most severe condition. Do not score both conditions.
12. Anterior Crowding: Arch length insufficiency must exceed 3.5 mm. Mild rotations that may react favorably to stripping or mild expansion procedures are not to be scored as crowded. Enter 5 points for maxillary and mandibular anterior crowding. If condition No. 9, ectopic eruption, is also present in the anterior portion of the mouth, score the most severe condition. Do not score both conditions.
13. Labio-Lingual Spread: The Boley Gauge is used to determine the extent of deviation from a normal arch. Where there is only a protruded or lingually displaced anterior tooth, the measurement should be made from the incisal edge of that tooth to the normal arch line. Otherwise, the total distance between the most protruded tooth and the lingually displaced anterior tooth is measured. The labio-lingual spread probably comes close to a measurement of overall deviation from what would have been a normal arch. In the event that multiple anterior crowding of teeth is observed, all deviations from the normal arch should be measured for labio-lingual spread, but only the most severe individual measurement should be entered on the index.
14. Posterior Unilateral Crossbite: This condition involves two or more adjacent teeth, one of which must be a molar. The crossbite must be one in which the maxillary posterior teeth involved may either be both palatal or both completely buccal in relation to the mandibular posterior teeth. The presence of posterior unilateral crossbite is indicated by a score of 4 on the scoresheet.





## B.4 Orthodontic Continuity of Care (COC)

Providers may submit Continuity of Care (COC) requests using three (3) methods of submission:

1. Online via the provider web portal (Dental Hub) at UHCdentalproviders.com
2. Electronic submission via payer ID GP133
3. By mail to:  
UnitedHealthcare Community Plan of New Mexico Turquoise Care  
P.O. Box 588  
Milwaukee, WI 53201

All COC requests must be submitted on the ADA claim form and must include the following contents:

- Code D8999 to recognize COC case
- Completed “Orthodontic Continuation of Care Form”
- A copy of Member’s prior approval including the total approved case fee, banding fee, and periodic orthodontic treatment fees (all that apply)
- If the member is private pay or transferring from a commercial insurance program: Original diagnostic models (or OrthoCad equivalent), radiographs (optional).



## Orthodontic Continuation of Care Submission Form



## Orthodontic Continuation of Care Submission Form

Date: \_\_\_\_\_

### Patient information

Name (first & last):	Date of birth:	Medicaid ID#:
Address:	City, State, ZIP:	
Area code and phone number:	Plan name:	

### Provider information

Dentist name:	Provider NPI #:	Specialty:
Address:	City, State, ZIP:	
Area code and phone number:		

Name of previous Medicaid vendor that issued original approval: \_\_\_\_\_

Banding date:	Case rate approved by previous vendor:
Amount paid for dates of service that occurred prior to UnitedHealthcare:	
Amount owed, if any, for dates of service that occurred prior to UnitedHealthcare:	
Balance expected for future dates of service:	
Numbers of adjustments remaining:	

### Additional information required:

- If the member is transferring from an existing Medicaid program:  
A copy of the original orthodontic approval with related payment history.
- If the member was previously private pay or transferring from a commercial insurance program, continuation of care will be denied. The provider must submit a new request to the Auth address for a brand new D8070, D8080 or D8090 which must include all original records. The case must be reviewed as if the treatment had never started to determine if it would meet the state's Medicaid guidelines for respective case approval.

**Submit to:** UnitedHealthcare Dental  
Attn: Pre-authorizations  
P.O. Box 588  
Milwaukee, WI 53201-2906  
**1-888-445-9817**

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# Appendix C: Authorization for treatment

## C.1 Dental treatment requiring authorization

To make sure that desirable quality of care standards are achieved and to maintain the overall clinical effectiveness of the program, there are times when prior authorization is required prior to the delivery of clinical services. These services may include specific restorative, endodontic, periodontic, prosthodontic and oral surgery procedures. For a complete listing of procedures requiring authorization, refer to the benefit grid.

Prior authorization means the practitioner must submit those procedures for approval with clinical documentation supporting necessity before initiating treatment.

For questions concerning prior authorization, dental claim procedures, or to request clinical criteria, please call the Provider Services Line.

You can submit your authorization request electronically, by paper through mail, or online at [UHCdental.com/medicaid](http://UHCdental.com/medicaid). All documentation submitted should be accompanied with ADA Claim Form and by checking the box titled: “Request for Predetermination/Preauthorization” section of the ADA Dental Claim Form to the address referenced in the appendix of this manual.

## C.2 Authorization timelines

The following timelines will apply to requests for authorization:

- We will make a determination on standard authorizations within 7 days of receipt of the request.
- We will make a determination on expedited authorizations within 24 hours of receipt of the request.
- Authorization approvals will expire 180 days from the date of determination.

## C.3 Appealing a denied authorization

Members have the right to appeal any fully or partially denied authorization determination. Denied requests for authorization are also known as “adverse benefit determinations.” An appeal is a formal way to share dissatisfaction with an adverse benefit determination.

As a treating provider, you have the right to appeal any fully or partially denied authorization determination with or without the members consent.

You or the member may call or mail the information relevant to the appeal within 60 calendar days from the date of the adverse benefit determination.

Member Denied Authorization Appeal Mailing Address:

**UnitedHealthcare Community**  
**Attn: Appeals and Grievances Unit**  
P.O. Box 31364  
Salt Lake City, UT 84131-0364



The member has the right to:

- Receive a copy of the rule used to make the decision.
- Ask someone (a family member, friend, lawyer, health care provider, etc.) to help. The member may present evidence, and allegations of fact or law, in person and in writing.
- Review the case file before and during the appeal process. The file includes medical records and any other documents.
- Send written comments or documents considered for the appeal.
- Ask for an expedited appeal if waiting for this health service could harm the member's health.
- Ask for continuation of services during the appeal. However, the member may have to pay for the health service if it is continued or if the member should not have received the service. As the provider, you cannot ask for a continuation. Only the member may do so.

#### **C.4 Appeal determination timeframe:**

- We resolve a standard appeal 30 calendar days from the day we receive it.
- We resolve an expedited appeal 72 hours from when we receive it.

#### **C.5 State Fair Hearing**

If a member does not agree with our appeal decision, the member or someone acting for the member has the right to request a Fair Hearing with the New Mexico Human Services Department. **A Fair Hearing can only be requested after the UnitedHealthcare appeal process has been exhausted.**

Members must request a Fair Hearing within 90 calendar days of the final appeal decision. Members can ask for a Fair Hearing if they disagree with UnitedHealthcare's decision to terminate, modify, suspend, reduce, delay or deny a service. The member can also ask for a Fair Hearing if he or she thinks UnitedHealthcare did not act promptly.

Members must request a Fair Hearing within 90 calendar days of the final appeal decision. Members can ask for a Fair Hearing if they disagree with UnitedHealthcare's decision to terminate, modify, suspend, reduce, delay or deny a service. The member can also ask for a Fair Hearing if he or she thinks UnitedHealthcare did not act promptly.

Members have the right to have someone represent them at the hearing. The parties to the Fair Hearing include representatives from UnitedHealthcare Community Plan, as well as the member and his or her representative or the representative of a deceased member's estate. The State has up to 60 days to process the Fair Hearing request. If member wants to continue during a Fair Hearing, he or she can make this request within 10 days from the date of the Notice of Appeal Resolution letter. If the member requested continuation of benefits and the result of the Fair Hearing is not in the member's favor, he or she may have to pay for the services received.

Members can request a Fair Hearing by calling or writing to:

New Mexico Human Services Department Fair Hearings Bureau  
P.O. Box 2348  
Santa Fe, NM 87504-2348

**Phone:** 1-800-432-6217, then press option 6 or 1-505-476-6213



### **Processes related to reversal of our initial decision**

If the state fair hearing outcome is to not deny, limit, or delay services while the member is waiting on an appeal, then we provide the services:

- As quickly as the member's health condition requires or
- No later than 72 hours from the date UnitedHealthcare Community Plan receives the determination reversal.

If the State Fair Hearing decides UnitedHealthcare Community Plan must approve appealed services, we pay for the services as specified in the policy and/or regulation.

### **C.7 Credentialing and Recredentialing Appeals**

Appeals for credentialing / re-credentialing for disciplinary action is not applicable in your state.



# Appendix D: Member rights and responsibilities

For the most updated information regarding Member Rights and Responsibilities, please review the Member Handbook.

## D.1 Member rights

Members of UnitedHealthcare Community Plan of New Mexico have a right to:

- Respect, dignity, privacy, confidentiality, accessibility and nondiscrimination.
- A reasonable opportunity to choose a Dentist and to change to another provider in a reasonable manner.
- Consent for or refusal of treatment and active participation in decision choices.
- Ask questions and receive complete information relating to your medical condition and treatment options, including specialty care.
- Voice grievances and receive access to the grievance process, receive assistance in filing an appeal, and request a State Fair Hearing from UnitedHealthcare Community Plan of New Mexico and/or the Department of Health and Human Services.
- Timely access to care that does not have any communication or physical access barriers.
- Prepare Advance Medical Directives.
- Assistance with requesting and receiving a copy of your medical records.
- Timely referral and access to medically indicated specialty care.
- Be free from any form of restraint or seclusion used as a means of coercion, discipline, convenience, or retaliation.
- Be furnished health care services in accordance with federal and state regulations.

## D.2 Member responsibilities

Members of UnitedHealthcare Community Plan of New Mexico agree to:

- Work with their Dentist to protect and improve their health.
- Find out how their dental plan coverage works.
- Listen to their Dentist's advice and ask questions when in doubt.
- Call or go back to their Dentist if they do not get better or ask to see another provider.
- Treat health care staff with the respect they expect themselves.
- Tell us if they have problems with any health care staff by calling Member Services at 1-855-789-8877.
- Keep their appointments, calling as soon as they can if they must cancel.
- Use the emergency department only for real emergencies.
- Call their Dentist when they need dental care, even if it is after-hours.





**Dental Benefit  
Providers**

All documents regarding the recruitment and contracting of providers, payment arrangements, and detailed product information are confidential proprietary information that may not be disclosed to any third party without the express written consent of Dental Benefit Providers, Inc.

UnitedHealthcare Dental® coverage provided by or through UnitedHealthcare Insurance Company, located in Hartford, Connecticut, or its affiliates. Administrative services provided by Dental Benefit Providers, Inc., Dental Benefit Administrative Services (CA only), United HealthCare Services, Inc. or their affiliates. Plans sold in Texas use policy form number DPOL.06.TX (11/15/2006) and associated COC form number DCOC.CER.06.

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